## The pre-transitional Klebanoff modes and other boundary layer disturbances induced by small-wavelength free-stream vorticity

# By PIERRE RICCO

Department of Mechanical Engineering, King's College London Strand, WC2R 2LS London, United Kingdom

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The response of the Blasius boundary layer to free-stream vortical disturbances of the convected gust type is studied. The vorticity signature of the boundary layer is computed through the boundary-region equations, which are the rigorous asymptotic limit of the Navier-Stokes equations for low-frequency disturbances. The method of matched asymptotic expansion is employed to obtain the initial and outer boundary conditions. For the case of forcing by a two-dimensional gust, the effect of a wall-normal wavelength comparable with the boundary-layer thickness is taken into account. The gust viscous dissipation and upward displacement due to the mean boundary layer produce significant changes on the fluctuations within the viscous region. The same analysis also proves useful for computing to second-order accuracy the boundary-layer response induced by a three-dimensional gust with spanwise wavelength comparable with the boundary-layer thickness. It also follows that the boundary-layer fluctuations of the streamwise velocity match the corresponding free-stream velocity component. The velocity profiles are compared with the experimental data and good agreement is attained.

The generation of Tollmien-Schlichting waves by the nonlinear mixing between the two-dimensional unsteady vorticity fluctuations and the mean flow distortion induced by localized wall roughness and suction is also investigated. Gusts with small wall-normal wavelengths generate significantly different amplitudes of the instability waves for a selected range of forcing frequencies. This is primarily due to the disparity between the streamwise velocity fluctuations in the free stream and within the boundary layer.

#### 1. Introduction

The laminar-turbulent transition in boundary layers is an extremely complex phenomenon which has attracted the attention of scientists and engineers for over a century. Its understanding and prediction are of relevant academic interest and of utmost importance for the design of efficient thermo-fluid systems. Indeed, the boundary layer is responsible for much of the flow energy transfer and dissipation, crucially affecting the performance of mechanical, aeronautical, civil, and chemical engineering systems. It is therefore important to determine whether a flow will be laminar, transitional or turbulent since the kinematic and thermal flow properties change drastically in each regime.

The first research efforts were directed toward the initial stage of transition, namely

the linear instability of a purely laminar boundary layer, where the small-amplitude disturbances were assumed to be already present within the viscous layer. The Orr-Sommerfeld stability equation for a viscous flow was derived from the Navier-Stokes equations by Orr (1907) and Sommerfeld (1908). Tollmien (1929) and Schlichting (1933) calculated the neutral curve of instability for the Blasius boundary layer and the unstable waves were thus named Tollmien-Schlichting (TS) waves. Later, Schubauer & Skramstad (1947) were able to excite them in a wind tunnel by introducing sound into the boundary layer through a small hole in the flat plate. In this carefully designed environment, the free-stream turbulence was reduced to a very low level, ~ 0.01 - 0.03%.

The most relevant of the recent experimental studies on the controlled generation of instability waves are the one by Wiegel & Wlezien (1993) for acoustic disturbances, and the ones by Dietz (1996, 1998, 1999) for vortical disturbances. In the latter, a ribbon was vibrated in the free stream and roughness was placed at the wall to trigger twodimensional TS waves. Quantitative data of the amplitude of the instability waves were thus obtained for the first time. The TS waves have therefore been generally acknowledged as the key feature in laminar-turbulent transition when the level of the external perturbations is reduced to a minimum.

Experiments under the influence of more intense free-stream turbulence have shown that spanwise-alternating low- and high-speed disturbances within the boundary layer may significantly amplify and distort the flow (Dryden 1936; Taylor 1939). Renewed interest has risen with the studies by Bradshaw (1965), Klebanoff (1971), Arnal & Juillen (1978), Kendall (1985), Gulyaev et al. (1989), Kendall (1990), Kendall (1991), Westin et al. (1994), which confirmed that these structures are of low-frequency and streamwiseelongated, as the streamwise velocity component is much larger than the wall-normal and spanwise velocity components. The terminologies laminar streaks, breathing modes or Klebanoff modes have been adopted. It is believed that small-amplitude Klebanoff modes initially evolve linearly, and, when their amplitude exceeds a threshold amplitude, they may interact nonlinearly and be precursors of an alternative route to turbulence, often called bypass transition. More recent experimental investigations have been conducted by Watmuff (1998), Matsubara & Alfredsson (2001), Inasawa et al. (2003), Fransson et al. (2005), Mans et al. (2005), Volino (2005), Anthony et al. (2005), Hernon et al. (2007), and Huang & Johnson (2007). Numerical simulations of the Klebanoff modes have also appeared (see Jacobs & Durbin (2001), Nagarajan et al. (2007) and Ovchinnikov et al. (2008) for DNS, and Lardeau et al. (2007) for large-eddy simulations), where the inflow condition which models the initial stage of the streak evolution was provided by a superposition of Orr-Sommefeld and Squire modes. Fasel (2002) and recently Liu et al. (2008) used DNS to study the breakdown to turbulence produced by the interaction of TS waves with Klebanoff modes.

The mathematical modeling of the Klebanoff modes has provoked much interest within the fluid mechanics community. Some formulations take into account the free-stream disturbances, which generate the Klebanoff modes inside the boundary layer. The relevant boundary value problem is inhomogeneous with respect to the outer boundary conditions, which synthesize the interaction between the boundary layer and the free-stream flow. The theoretical work by Crow (1966), prompted by the experiments by Bradshaw (1965), was probably the first effort directed at the entrainment of free-stream disturbances in the boundary layer. The focus was on small-amplitude, steady free-stream disturbances penetrating into the Blasius boundary layer. The streamwise velocity was found to grow linearly with the downstream distance. Goldstein *et al.* (1992) showed that Crow's linear solution is valid only within a short distance from the leading edge (see also Goldstein & Leib (1993)). The formation of laminar streaks was also investigated by Choudhari (1996)

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using the linearized unsteady boundary-layer equations, and by Leib et al. (1999) (LWG) using the linearized unsteady boundary-region equations. These equations are a rigorous asymptotic limit of the Navier-Stokes equations for low-frequency disturbances. The latter equations account for the effects of spanwise viscous diffusion, namely for spanwise wavelengths comparable with the boundary layer thickness, while in the boundary-layer equations these effects are negligible because the spanwise wavelength is asymptotically larger than the boundary-layer thickness. LWG obtained the free-stream boundary conditions by asymptotic matching between the boundary-layer displacement and the outer flow. The external vortical flow is not independent of the viscous wall layer because it is continuously influenced by the fluctuations within the boundary layer. Their analysis reveals that the amplification of the streamwise velocity within the boundary layer, recognized as the distinguished feature of the Klebanoff modes, is due to the spanwise velocity component of the free-stream gust. Other works on the generation of vorticity disturbances by free-stream fluctuations are by Goldstein & Wundrow (1998), Wundrow & Goldstein (2001), Wu & Choudhari (2003), and Goldstein & Sescu (2008). Similar structures have recently been studied, such as the thermal Klebanoff modes (Ricco & Wu 2007; Ricco et al. 2009), which may be generated by free-stream gusts interacting with a compressible laminar boundary layer.

The spatial development of the streaks has also been studied by the optimal growth theory (Andersson *et al.* 1999; Luchini 2000). These perturbations are allowed to vanish in the free stream and are generated by an iterative procedure to obtain the maximum growth at a specified downstream distance. The streamwise velocity profile agrees well with the low-frequency experimental data in the core of the boundary layer, even though the penetration of the free-stream vortical disturbances is not included in the model.

Another related interesting issue is the scattering of TS waves. Since low-frequency boundary-layer vorticity fluctuations (such as the Klebanoff modes) are generated by free-stream vortical gusts propagating at the free-stream mean velocity, their wavelength is much larger than the wavelength of the TS waves. Therefore, for free-stream-induced vorticity disturbances to trigger TS waves, a wavelength conversion mechanism is necessary to introduce the correct length scale into the problem. Such a mechanism is called receptivity. Its objectives are (i) to explain the process of excitation of the instability waves, and (ii) to predict the TS-wave amplitude. The frequency of the instability waves is provided by the unsteady free-stream disturbance, while the wavelength is usually extracted from a steady perturbation, often located at the wall (Goldstein 1985), or by a leading-edge adjustment mechanism induced by the nonparallel effects of the mean flow (Goldstein 1983; Ricco & Wu 2007). The induced wave has therefore the frequency and wavelength of the TS-wave, so that it grows exponentially as predicted by linear stability theory.

The pioneering works on receptivity have dealt with unsteady forcing of the acoustic type (Goldstein 1983; Ruban 1985; Goldstein 1985). Only recently has the interest been directed to the TS-waves generation by free-stream vorticity. This represents a more involved problem, in that the boundary-layer response to a sound wave can be often approximated by a Stokes layer, while the signature of the Klebanoff modes is more difficult to compute. Kerschen (1991) presented the first asymptotic receptivity study involving a gust, which was studied in more detail by Duck *et al.* (1996) using the triple-deck formulation. Choudhari (1996), following his acoustic receptivity work (Choudhari & Streett 1992), investigated the receptivity to three-dimensional gusts interacting with wall inhomogeneities by the finite Reynolds number approach. Extensive theoretical work has been carried out by Wu (2001a, b) for gusts interacting with localized and distributed wall roughness. Second-order triple-deck calculations were employed for the first time to

obtain very accurate quantitative comparisons between the theoretical results and the experimental data by Dietz (1999).

The wall-normal wavelength  $\lambda_y^*$  of the gust was not given in Dietz (1999), but from the profile of the free-stream disturbance it could be inferred that  $\lambda_y^*$  was about ten times the boundary-layer thickness. It is therefore likely that the wavelength was large enough for the effects of boundary-layer displacement and viscous dissipation on the free-stream gust to be neglected. The excellent agreement between Dietz's data and the theoretical results by Wu (2001*a*,*b*) (where these effects were not taken into account) confirms this hypothesis. Mathematically,  $\lambda_y^*$  being large translates into a scaled parameter,  $\kappa_2 = \sqrt{2\pi\nu\lambda_x^*/U_\infty}/\lambda_y^* \approx \delta^*/\lambda_y^*$ , being asymptotically small (LWG). (Here  $\nu$  is the kinematic viscosity of the fluid,  $\lambda_x^*$  is the streamwise wavelength,  $U_\infty$  is the mean free-stream velocity, and  $\delta^* = \sqrt{x^*\nu/U_\infty}$  is a measure of the boundary-layer thickness.) In this limit, the boundary-layer equations may be used instead of the boundary-region equations, namely the pressure fluctuations do not play a role at leading order.

In the present work, we focus on four objectives related to the response of the boundary layer to free-stream vortical gusts. They can be schematically listed as follows:

• We first investigate the boundary-layer response to a two-dimensional convected gust with a wall-normal wavelength comparable with the boundary-layer thickness,  $\lambda_y^* = \mathcal{O}(\delta^*)$  ( $\kappa_2 = \mathcal{O}(1)$ ). The analysis accounts for the effects of free-stream viscous dissipation and of upward displacement of the gust due to the underlying boundary layer.

• The three-dimensional form of the disturbances described above proves useful to obtain a better representation of the vorticity fluctuations produced by a three-dimensional gust with small wall-normal and spanwise wavelengths ( $\kappa_2, \kappa = \mathcal{O}(1)$ , where for  $\kappa$  the spanwise wavelength  $\lambda_z^*$  substitutes  $\lambda_y^*$  in the definition of  $\kappa_2$ ). More precisely, as the asymptotic expansion is carried out with respect to the small frequency, these components synthesize the second-order effects. It follows that the mathematical framework can now account for disturbances of higher frequencies than in previous studies. The first-order terms are found to be  $\mathcal{O}(\lambda_x^*/\lambda_z^*)$  (with  $\lambda_x^* \gg \lambda_z^*$ ) larger than the free-stream disturbances, while the second-order terms are of the same order of the outer gust fluctuations. The inclusion of these terms is also important because, in the three-dimensional case, the streamwise velocity of the Klebanoff mode matches the corresponding component of the free-stream gust. This matching is not possible if only the leading-order component is employed because the latter vanishes in the free stream. This gives a more realistic representation of the streak profile because these terms are dominant near the free stream. Arguably, LWG focused their analysis only on part of the vorticity signature because they sought to reproduce experimental data of the peak of the laminar streaks located in the middle of the boundary layer.

We are further interested in the physical mechanism responsible for the formation of the outer fluctuations, which will be revealed to be more subtle than for the first-order quantities. It will be shown that pressure fluctuations play a key role, while they are irrelevant for the generation of the first-order components. The present analysis also works toward the objective of explaining the mechanism of bypass transition. Indeed, as for example pointed out by Jacobs & Durbin (2001), while the low-frequency disturbances distort the flow, the high-frequency ones may be responsible for triggering the secondary instability when the streaks move toward the outer portion of the boundary layer. It is therefore of great interest to compute accurately the vorticity signature of the highfrequency component, a task which is tackled by computing the second-order components. Figures 1 and 2 show schematics of the asymptotic regions for the response of a twodimensional and a three-dimensional free-stream gust, respectively. The regions are de-

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FIGURE 1. Schematic of the asymptotic regions for different  $\kappa_2$  and  $\overline{x}$  for boundary-layer response to a two-dimensional gust. Here and in the following figure, the parts indicated with R and underlined denote the results of the present analysis.

fined according to the values of  $\kappa, \kappa_2$  and  $\overline{x} = 2\pi x^*/\lambda_x^* = \mathcal{O}(1)$ , the scaled streamwise distance from the leading edge. The underlined parts indicate the results of the present analysis and the notations LUBL and LUBR refer to the linearized unsteady boundarylayer and boundary-region equations. At  $\overline{x} \ll 1$ , the initial conditions must be imposed to solve the equations numerically, and the solution attains an asymptotic self-similarity solution in the limit  $\kappa \gg 1$ ,  $\kappa^2 \overline{x} = \mathcal{O}(1)$  (see §4.1.2 and LWG at page 184). At  $\overline{x} = \mathcal{O}(1)$ , the LUBR solution is valid for  $\kappa, \kappa_2 = \mathcal{O}(1)$  and the LUBL solution is valid for  $\kappa, \kappa_2 \ll 1$ , while for  $\overline{x} \gg 1$  the disturbances for  $\kappa, \kappa_2 \ll 1$  attain an asymptotic solution (edge-layer confinement) and decay by viscous effects when  $\kappa, \kappa_2 = \mathcal{O}(1)$ .

• Another task is to compare the streamwise velocity profiles of the Klebanoff modes with experimental data. Of particular interest is the unique experimental dataset by Westin *et al.* (1994) (see figure 12a at page 210) of the fluctuating energy at a single frequency of free-stream forcing.

• The influence of wall-normal wavelengths comparable with the boundary-layer thickness is investigated on the amplitude of TS waves scattered by the nonlinear interactions between the two-dimensional vorticity fluctuations and the mean flow distortion produced by localized wall perturbations. As outlined above, the wall-normal wavelength was much larger than the boundary-layer thickness in previous receptivity studies.

We compute the boundary-layer velocity signature by the linearized unsteady boundaryregion equations with proper outer boundary conditions to account for the unsteady free-stream forcing. We consider two kinds of localized wall inhomogeneities, wall roughness and wall suction, and employ the finite Reynolds number approach developed by Choudhari & Streett (1992) to compute the amplitude of the scattered TS waves. Differently from triple-deck theory, where the Reynolds number is treated as an asymptotically large quantity (and is therefore scaled out of the problem), in the finite Reynolds number (FRN) approach the Reynolds number appears in the equations as a parameter. Although

P. Ricco  $\kappa$ ,  $\kappa$ <sub>2</sub> Asymptotic solution LWG  $\overline{u}$ ; <u>R</u>  $\overline{u}^{(0)}$ Viscous attenuation Viscous attenuation  $\kappa, \kappa_2 \gg 1$  $2\overline{x} = \mathcal{O}(1)$ LUBR Initial cond. LUBR solution LWG -  $1^{st}$  order in BL core  $\kappa, \kappa_2 = \mathcal{O}(1)$ LWG - Power series Viscous attenuation R - 1<sup>st</sup>order in outer part R - Power series  $R - 2^{nd}$  order in BL core Asymptotic solution LUBL solution  $\kappa, \kappa_2 \ll 1$ LUBL Initial cond. Edge layer Choudhari (1996) - LWG LWG, Wu (2001)b  $\overline{x} = \mathcal{O}(1)$  $\overline{x} \ll 1$  $\overline{x} \gg 1$  $\overline{x}$ 

FIGURE 2. Schematic of the asymptotic regions for different  $\kappa, \kappa_2$  and  $\overline{x}$  for three-dimensional Klebanoff modes.

the FRN framework is not as mathematically rigorous as the triple-deck theory because of its ad hoc approach to some aspects of the receptivity problem (such as the exclusion of the nonparallel terms), results from the two methods show satisfactory quantitative agreement at first order (Wu 2001a). The triple-deck approach is perfectly suited for those cases where the vorticity response is given by analytic formulas (Duck et al. 1996; Wu 2001a,b). However, the FRN formulation is arguably simpler to implement when the vorticity response is given by a numerical solution, like in the present case.

The paper is structured as follows. The mathematical formulation is presented in section  $\S$ 2: the framework of the LUBR equations with appropriate outer and initial conditions is outlined in §2.1, while the finite Reynolds number receptivity approach is described in §2.2. The numerical procedures for solving the LUBR equations are found in §3.1. A brief description of the methodology for solving the homogeneous and inhomogeneous Orr-Sommerfeld equations, for the stability and receptivity calculations respectively, is given in  $\S3.2$ . In  $\S4.1$ , the boundary-layer vorticity signatures induced by a two-dimensional gust ( $\S4.1.1$ ) and a three-dimensional gust ( $\S4.1.2$ ) are discussed, and the comparison with the available experimental data is presented in  $\S4.1.3$ . Section  $\S4.2$ discusses the receptivity results, i.e. the effects of the free-stream gust properties on the amplitude of the Tollmien-Schlichting waves. A summary is given in §5.

#### 2. Mathematical formulation

### 2.1. Boundary-layer response to free-stream convected gusts

As in LWG, the mathematical framework for the response of a laminar boundary layer to small free-stream vortical gusts is the LUBR equations. The formulation follows closely the one by LWG, and it is extended to find the outer boundary conditions (equations (2.13), (2.21)-(2.23) and the initial conditions (equation (2.30) and Appendix B) for the

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components denoted as  $\{\overline{u}^{(0)}, \overline{v}^{(0)}, \overline{w}^{(0)}, \overline{p}^{(0)}\}$ , which are of second order in the core of the boundary layer and of first order in the outer portion of it.

A flow of uniform velocity  $U_{\infty}$  past an infinitely-thin flat plate is considered. Superimposed on  $U_{\infty}$  are homogeneous, statistically-stationary vortical fluctuations. These perturbations are of the gust type, i.e. they are convected by the mean flow. The flow is assumed to be incompressible and is described in terms of a Cartesian coordinate system, i.e. by a position vector  $\mathbf{x} = x\hat{\mathbf{i}} + y\hat{\mathbf{j}} + z\hat{\mathbf{k}} = x_1\hat{\mathbf{i}} + x_2\hat{\mathbf{j}} + x_3\hat{\mathbf{k}}$ , where x, y and z (or  $x_1, x_2$  and  $x_3$ ) define the streamwise, wall-normal and spanwise directions. Lengths are scaled by  $\lambda_z^*$ , the spanwise wavelength of gust, so that the scaled spanwise wavenumber is  $k_3 = 2\pi$ . The velocities are scaled by  $U_{\infty}$ , pressure is normalized by  $\rho^* U_{\infty}^2$ , where  $\rho^*$  is the free-stream density, and the time by  $\lambda_z^*/U_{\infty}$ . The symbol \* indicates a dimensional quantity.

Mathematically, the vorticity fluctuations can be represented as a superposition of sinusoidal disturbances:

$$\mathbf{u} - \hat{\mathbf{i}} = \epsilon_g \mathbf{u}_{\infty}(x - t, y, z) = \epsilon_g \hat{\mathbf{u}}^{\infty} e^{i(\mathbf{k} \cdot \mathbf{x} - k_1 t)} + c.c.,$$

where  $\epsilon_g \ll 1$  indicates the amplitude of the gust and *c.c.* denotes the complex conjugate. The problem is formulated for a single Fourier component of the free-stream turbulence. The gust vector  $\hat{\mathbf{u}}^{\infty} = \{\hat{u}_1^{\infty}, \hat{u}_2^{\infty}, \hat{u}_3^{\infty}\}$  can be expressed as

$$\hat{\mathbf{u}}^{\infty} = \{\sin\theta_q \cos\phi_q, \cos\theta_q, \sin\theta_q \sin\phi_q\},\$$

upon introducing the polar angle  $\theta_g$  ( $0 < \theta_g < \pi$ ) and the azimuthal angle  $\phi_g$  ( $-\pi < \phi_g < \pi$ ) of orientation (Choudhari 1996). It further occurs that

$$|\hat{\mathbf{u}}^{\infty}| = \sqrt{(\hat{u}_1^{\infty})^2 + (\hat{u}_2^{\infty})^2 + (\hat{u}_3^{\infty})^2} = 1, \qquad (2.1)$$

and that the continuity equation can be written as

$$\hat{\mathbf{u}}^{\infty} \cdot \mathbf{k} = 0. \tag{2.2}$$

For a two-dimensional gust (i.e. for a gust with no spanwise velocity component,  $\hat{u}_3^{\infty} = 0$ , and with an infinitely long spanwise wavelength,  $k_3/k_1 \to 0$ ),  $\phi_g = 0$  and  $\theta_g$  describes  $\hat{\mathbf{u}}^{\infty}$ .

We focus on low-frequency (i.e. long-wavelength) disturbances with  $k_1 \ll 1$  as these are the ones that can penetrate the most into the boundary layer to form the laminar streaks. A Reynolds number is defined as  $R_{\lambda} \equiv U_{\infty} \lambda_z^* / \nu$ . We take  $R_{\lambda}$  to be asymptotically large, i.e.  $R_{\lambda} \gg 1$ . Following LWG, the flow is studied at downstream locations where the boundary-layer thickness  $\delta^* = \mathcal{O}(\lambda_z^*)$ , i.e. where  $x/R_{\lambda} = \mathcal{O}(1)$ , which means that the diffusion in the spanwise direction is of the same order as that in the wall-normal direction. A distinguished scaling is  $k_1 = \mathcal{O}(R_{\lambda}^{-1})$  or, equivalently,  $\overline{x} = k_1 x = \mathcal{O}(1)$ , because the laminar streaks evolve downstream on a length scale which is comparable with the streamwise wavelength of the gust. Due to the disparity between the spanwise and streamwise scales,  $\mathcal{O}(\epsilon_g)$  fluctuations in the free stream can generate  $\mathcal{O}(\epsilon_g/k_1)$  streamwise velocity disturbances within the boundary layer. We assume that the amplitude of disturbances is much smaller than the amplitude of the mean flow, so that the equations can be linearized. The condition for linearization,  $\epsilon_g/k_1 \ll 1$ , becomes  $\epsilon_g R_{\lambda} \ll 1$ .

The unsteady perturbations interact with the flat plate. The flow field can be described by the rapid distortion theory of turbulence. When the theory is applied to a flow over an infinitely thin flat plate, the velocity at leading order is expressed as (Goldstein 1978)

$$\mathbf{u} = \hat{\mathbf{i}} + \epsilon_g (\mathbf{u}_\infty + \nabla \phi).$$

The potential function  $\phi$  arises from the interaction of the gust with the flat plate and must be included in order to satisfy the no-penetration boundary condition at the plate. As shown by LWG, for  $x \gg 1$ 

$$\phi = \frac{\hat{u}_2^{\infty} \mathrm{e}^{-\Gamma y}}{\Gamma} \mathrm{e}^{i k_1 (x-t) + i k_3 z} + c.c., \ \ \Gamma \equiv \left(k_1^2 + k_3^2\right)^{1/2}$$

The streamwise and spanwise slip velocities at the surface of the plate (y = 0) are given by

$$u_1^{(1)}(0) = \hat{u}_1^{\infty} + \frac{ik_1}{\Gamma}\hat{u}_2^{\infty}, \quad u_3^{(1)}(0) = \hat{u}_3^{\infty} + \frac{ik_3}{\Gamma}\hat{u}_2^{\infty}.$$
 (2.3)

These velocities are reduced to zero across the boundary layer. A similarity solution exists for this flow with the similarity variable defined as

$$\eta \equiv y \left(\frac{R_{\lambda}}{2x}\right)^{1/2} = y^* \sqrt{\frac{U_{\infty}}{2\nu x^*}}.$$
(2.4)

The mean flow solution is expressed as

$$U = F'(\eta), \quad V = (2xR_{\lambda})^{-1/2} (\eta F' - F), \qquad (2.5)$$

where the prime indicates differentiation with respect to  $\eta$ , and U and V represent the mean streamwise and wall-normal velocity components. It follows from the x-momentum equation that F is governed by

$$F''' + FF'' = 0. (2.6)$$

The boundary conditions are

$$F(0) = F'(0) = 0$$
, and  $F' \to 1$  as  $\eta \to \infty$ .

For a single Fourier component of the disturbance, the solution in the boundary layer is expressed as:

$$\{u, v, w, p\} = \{U, V, 0, -1/2\}$$
$$+\epsilon_g \left\{ \overline{u}_0(\overline{x}, \eta), \left(\frac{2\overline{x}k_1}{R_\lambda}\right)^{1/2} \overline{v}_0(\overline{x}, \eta), \overline{w}_0(\overline{x}, \eta), \overline{p}_0(\overline{x}, \eta) \right\} e^{i(k_3 z - k_1 t)} + c.c. + \dots \quad (2.7)$$

Boundary-layer disturbances with  $\lambda_z^* >> \delta^*$  at  $\overline{x} = \mathcal{O}(1)$  are described by the linearized unsteady boundary-layer equations (see (4.5)-(4.6) in LWG at page 176). When  $\delta^* = \mathcal{O}(\lambda_z^*)$ , the LUBR equations must be employed. These equations (Kemp 1951) represent the asymptotically rigorous limit of the Navier-Stokes equations for disturbances with streamwise wavelength which is long compared with both the boundary-layer thickness and the spanwise wavelength. The LUBR equations are well suited for studying the Klebanoff modes since experimental evidence (Kendall 1985; Westin *et al.* 1994) suggests that, when the streaky structures are well developed within the boundary layer, they are of low frequency and their spanwise wavelength is  $\mathcal{O}(\delta^*)$ . The velocity and pressure disturbances are expressed as (Gulyaev *et al.* 1989)

$$\left\{ \overline{u}_{0}, \overline{v}_{0} \right\} = C^{(0)} \left\{ \overline{u}^{(0)}, \overline{v}^{(0)} \right\} + (ik_{3}/k_{1})C\{\overline{u}, \overline{v}\},$$

$$\overline{w}_{0} = -(ik_{1}/k_{3})C^{(0)}\overline{w}^{(0)} + C\overline{w},$$

$$\overline{p}_{0} = (k_{1}/R_{\lambda})C^{(0)}\overline{p}^{(0)} + i\kappa \left(k_{1}/R_{\lambda}\right)^{1/2}C\overline{p},$$

$$\left\{ 2.8 \right\}$$

$$\left\{ 2.8 \right\}$$

where  $\kappa \equiv k_3/(k_1R_\lambda)^{1/2} = \sqrt{2\pi\nu\lambda_x^*/U_\infty}/\lambda_z^* = \mathcal{O}(1), \ C^{(0)} = \hat{u}_1^\infty + ik_1\hat{u}_2^\infty/\Gamma$  and  $C = \hat{u}_3^\infty + ik_3\hat{u}_2^\infty/\Gamma$ . The terms proportional to the components  $\{\overline{u}, \overline{v}, \overline{w}, \overline{p}\}$  have been studied by

LWG and represent the dominant part of the vorticity and pressure fluctuations in the core of the boundary layer. The terms proportional to the components  $\{\overline{u}^{(0)}, \overline{v}^{(0)}, \overline{w}^{(0)}, \overline{p}^{(0)}\}$  indicate the second-order part in the middle of the boundary layer and the leading-order part of the Klebanoff modes at the outer edge of the boundary layer. They are solved for in the following for the first time. Both  $\{\overline{u}^{(0)}, \overline{v}^{(0)}, \overline{w}^{(0)}, \overline{p}^{(0)}\}$  and  $\{\overline{u}, \overline{v}, \overline{w}, \overline{p}\}$  satisfy the LUBR equations:

$$\frac{\partial \overline{u}}{\partial \overline{x}} - \frac{\eta}{2\overline{x}}\frac{\partial \overline{u}}{\partial \eta} + \frac{\partial \overline{v}}{\partial \eta} + \overline{w} = 0, \qquad (2.9)$$

$$\left(-i+\kappa^2-\frac{\eta F''}{2\overline{x}}\right)\overline{u}+F'\frac{\partial\overline{u}}{\partial\overline{x}}-\frac{F}{2\overline{x}}\frac{\partial\overline{u}}{\partial\eta}-\frac{1}{2\overline{x}}\frac{\partial^2\overline{u}}{\partial\eta^2}+F''\overline{v}=0,$$
(2.10)

$$\left(-i+\kappa^2+\frac{(\eta F')'}{2\overline{x}}\right)\overline{v}+F'\frac{\partial\overline{v}}{\partial\overline{x}}-\frac{F}{2\overline{x}}\frac{\partial\overline{v}}{\partial\eta}-\frac{1}{2\overline{x}}\frac{\partial^2\overline{v}}{\partial\eta^2}-\frac{\eta(\eta F')'-F}{\left(2\overline{x}\right)^2}\overline{u}+\frac{1}{2\overline{x}}\frac{\partial\overline{p}}{\partial\eta}=0, \quad (2.11)$$

$$\left(-i+\kappa^{2}\right)\overline{w}+F'\frac{\partial\overline{w}}{\partial\overline{x}}-\frac{F}{2\overline{x}}\frac{\partial\overline{w}}{\partial\eta}-\frac{1}{2\overline{x}}\frac{\partial^{2}\overline{w}}{\partial\eta^{2}}-\kappa^{2}\overline{p}=0.$$
(2.12)

The LUBR equations simplify to the boundary-layer equations for  $\kappa \to 0$  and  $\overline{x} = \mathcal{O}(1)$ , namely in the limit of vanishing spanwise diffusion. For the response to a two-dimensional gust,  $\overline{w}^{(0)}, \overline{u}, C, \kappa$  vanish, and  $C^{(0)} = i \exp(-i\theta_g) = \hat{u}_1^{\infty} + i\hat{u}_2^{\infty}$ .

Note that the LUBR equations correctly include the nonparallel terms, which are expression of the downstream evolution of the boundary layer. These terms play a leading-order role especially in the outer portion of the boundary layer (Wu 2001*a*). Therefore, the Orr-Sommerfeld and Squire equations, where the nonparallel effects are absent, cannot describe the laminar streaks. However, the Orr-Sommerfeld equation can be successfully employed for the receptivity analysis because the TS-wave generation is a local process, whereas the Klebanoff modes dynamics is non-local. The complete evolution from the proximity of the leading edge must be considered because the free-stream disturbances continuously interact with the boundary layer. Numerical evidence of the failure of the parallel approximation to describe the laminar streaks at the beginning of their evolution and of its validity further downstream is given in §4.2.

The boundary-region system (2.9)-(2.12) requires free-stream boundary and initial conditions, which are studied in the following §2.1.1 and §2.1.2.

#### 2.1.1. Outer boundary conditions

To derive the outer boundary conditions for (2.9)-(2.12), the velocity field is expanded as (LWG)

$$\mathbf{u} = (\partial \Psi / \partial y, -\partial \Psi / \partial x, 0) + \epsilon_q \mathbf{u}^{(0)} \mathbf{e}^{i(k_3 z - k_1 t)} + c.c. + \dots,$$

where  $\Psi$  is the stream function of the mean flow

$$\Psi = y - \beta \Re \left[ 2 \left( x + iy \right) / R_{\lambda} \right]^{1/2},$$

with  $\Re$  indicating the real part and  $\beta = 1.217...$  As  $y \to 0$ , it reduces to  $\Psi \sim y - \beta (2x/R_{\lambda})^{1/2} = y^{(0)}/(k_1R_{\lambda})^{1/2}$  upon introducing the new scaled variable:

$$y^{(0)} \equiv (2\overline{x})^{1/2}\overline{\eta}, \quad \overline{\eta} \equiv \eta - \beta.$$

It is found (LWG at page 181) that the free-stream velocity components are

$$\mathbf{u}^{(0)} = \hat{\mathbf{u}}^{\infty} \mathrm{e}^{i\left(\overline{x} + \kappa_2 y^{(0)}\right) - \left(\kappa^2 + \kappa_2^2\right)\overline{x}} \quad \text{as} \quad k_1 y \to 0.$$

The exponent  $-(\kappa^2 + \kappa_2^2) \overline{x}$  accounts for the viscous attenuation, while the boundarylayer displacement effect is absorbed into the exponent  $i\kappa_2 y^{(0)}$ . If  $k_1 \gg R_{\lambda}^{-1}$ , then  $\kappa, \kappa_2 \ll$ 

1 so that both effects can be neglected at leading order for  $\overline{x} = \mathcal{O}(1)$ . At  $\overline{x} = \mathcal{O}(1)$ ,  $\kappa_2 = \mathcal{O}(1)$  translates into  $\delta^* = \mathcal{O}(\lambda_y^*)$ , so that the boundary layer displaces the vortical disturbances upward and the viscous effects are induced by the small  $\lambda_y^*$  of the gust.

The boundary conditions as  $\eta \to \infty$  for the LUBR equations are obtained by solving the large- $\eta$  LUBR equations, which are found by substituting  $F \to \overline{\eta}$  into (2.9)-(2.12). The solutions to the large- $\eta$  equations which match the free-stream solutions are

$$\overline{u}^{(0)} = \frac{e^{ix}}{\kappa_2 - i|\kappa|} \left( \kappa_2 e^{i\kappa_2 y^{(0)} - (\kappa^2 + \kappa_2^2)\overline{x}} - i|\kappa|e^{-|\kappa|y^{(0)}} \right), \quad (2.13)$$

$$\overline{v}^{(0)} = \frac{e^{i\overline{x} + i\kappa_2 y^{(0)} - (\kappa^2 + \kappa_2^2)\overline{x}}}{\kappa_2 - i|\kappa|} \left( \frac{\kappa_2 \beta \left(\kappa_2^2 - \kappa^2\right)}{2\overline{x} \left(\kappa^2 + \kappa_2^2\right)} - \frac{1 + i \left(\kappa^2 + \kappa_2^2\right)}{(2\overline{x})^{1/2}} \right) + \frac{e^{i\overline{x} - |\kappa|y^{(0)}}}{\kappa_2 - i|\kappa|} \left( -2i\beta|\kappa|^3 + (2\overline{x})^{-1/2} + \frac{i|\kappa|\beta}{4\overline{x}} \left( 1 + 2|\kappa|y^{(0)} \right) \right) + \frac{|\kappa|e^{i\overline{x} - |\kappa|y^{(0)}}}{(2\overline{x})^{1/2}} \int_0^{\overline{x}} g^{(0)}(\overline{x}) e^{-i\overline{x}} d\overline{x}, \quad (2.14)$$

$$\overline{w}^{(0)} = \frac{2i\beta\kappa^{2}\kappa_{2}^{2} e^{i\overline{x}+i\kappa_{2}y^{(0)}} - (\kappa^{2}+\kappa_{2}^{2})\overline{x}}{(2\overline{x})^{1/2} (\kappa_{2}-i|\kappa|) (\kappa^{2}+\kappa_{2}^{2})} + \frac{i\beta\kappa^{2}e^{i\overline{x}-|\kappa|y^{(0)}}}{\kappa_{2}-i|\kappa|} \left(\frac{1/2+|\kappa|y^{(0)}}{(2\overline{x})^{1/2}} - 2\kappa^{2}(2\overline{x})^{1/2}\right) + \kappa^{2}e^{i\overline{x}-|\kappa|y^{(0)}} \int_{0}^{\overline{x}} g^{(0)}(\overline{x})e^{-i\overline{x}}d\overline{x}, \qquad (2.15)$$

$$\overline{p}^{(0)} = -\frac{i\beta e^{i\overline{x}}}{(2\overline{x})^{2/2}((2\overline{x})^{1/2})} \left(\left(\frac{1}{2}+|\kappa|y^{(0)}\right)e^{-|\kappa|y^{(0)}}\right)$$

$$+\frac{2\kappa_{2}^{2} e^{i\kappa_{2}y^{(0)} - (\kappa^{2} + \kappa_{2}^{2})\overline{x}}}{\kappa^{2} + \kappa_{2}^{2}} + g^{(0)}(\overline{x})e^{-|\kappa|y^{(0)}}$$
(2.16)

and

$$\overline{u} = 0, \qquad (2.17)$$

$$\overline{v} = \frac{ie^{i\overline{x}}}{(\kappa_2 - i|\kappa|)(2\overline{x})^{1/2}} \left( e^{i\kappa_2 y^{(0)} - (\kappa^2 + \kappa_2^2)\overline{x}} - e^{-|\kappa|y^{(0)}} \right) + \frac{|\kappa|e^{i\overline{x} - |\kappa|y^{(0)}}}{(2\overline{x})^{1/2}} \int_0^{\overline{x}} g(\breve{x}) e^{-i\breve{x}} \mathrm{d}\breve{x},$$
(2.18)

$$\overline{w} = \frac{\mathrm{e}^{i\overline{x}}}{\kappa_2 - i|\kappa|} \left( \kappa_2 \mathrm{e}^{i\kappa_2 y^{(0)} - \left(\kappa^2 + \kappa_2^2\right)\overline{x}} - i|\kappa|\mathrm{e}^{-|\kappa|y^{(0)}} \right) + \kappa^2 \mathrm{e}^{i\overline{x} - |\kappa|y^{(0)}} \int_0^{\overline{x}} g(\breve{x})\mathrm{e}^{-i\breve{x}}\mathrm{d}\breve{x}, \quad (2.19)$$

$$\overline{p} = g(\overline{x}) \mathrm{e}^{-|\kappa| y^{(0)}}, \qquad (2.20)$$

where  $g^{(0)}(\overline{x})$  and  $g(\overline{x})$  are unknown. Equations (2.17)-(2.20) are also given in LWG as equations (5.20)-(5.23). The coefficients  $\kappa_2/(\kappa_2 - i|\kappa|)$  and  $-i|\kappa|/(\kappa_2 - i|\kappa|)$  in (2.19) for  $\overline{w}$ are found by imposing two conditions. The first condition is that  $\overline{w} \to \exp(i\overline{x})$  as  $\overline{x} \to 0^+$ because this is the behaviour of the spanwise velocity component as the free stream and the leading edge are approached (see equation (4.12) at page 177 in LWG). The second condition is that  $\overline{v}$  must be bounded as  $\overline{x} \to 0^+$  to match the boundary-layer initial condition given by equation (4.13) at page 177 in LWG, i.e.  $\overline{v} \to (\eta^2 F'' - 3\eta F' - F)/4$ . Note that the outer behaviour for the spanwise velocity component and the upstream behaviour of the wall-normal velocity component for the boundary-layer case ( $\kappa = 0$ , studied by LWG at page 177) may be used in the boundary-region case when  $\overline{x} \to 0^+$ 

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because in this limit the spanwise diffusion and the upward displacement effects on the gust are negligible. It is mathematically interesting to note that the same coefficients are found in equation (2.13) for  $\overline{u}^{(0)}$  by imposing a different condition. For this component, it is required that  $\overline{w}^{(0)} \to 0$  as  $\kappa \to 0$  for every  $\kappa_2$  at every  $\overline{x}$ , namely that in the boundary-layer case there be no pressure disturbance at leading order to generate a spanwise velocity fluctuation in the free stream and within the boundary layer. Similarly to  $\overline{v}$ , the component  $\overline{v}^{(0)}$  must match the boundary-layer initial condition as  $\overline{x} \to 0^+$  because the gust behaves as if  $\kappa = 0$ , namely the spanwise viscous dissipation and the upward displacement are negligible. However,  $\overline{v}^{(0)}$  is not bounded like  $\overline{v}$  as  $\overline{x} \to 0^+$ , but it grows as  $\sim \overline{x}^{-1}$ :  $\overline{v} \to (\eta(\eta F')' - F)/(4\overline{x})$  (equation (4.13) in LWG). The expressions for the free-stream forcing components, (2.13) for  $\overline{u}^{(0)}$  and (2.19) for  $\overline{w}$ , coincide apart from the integral term in (2.19), due to the spanwise pressure gradient when  $\kappa = \mathcal{O}(1)$ . This term is absent in (2.13) because the streamwise pressure gradient is negligible for low-frequency disturbances.

Equations (2.13) and (2.17) can be applied as Dirichlet boundary conditions as  $\eta \to \infty$ , whereas equations (2.14)-(2.16) and (2.18)-(2.20) can not be employed as such because  $g, g^{(0)}$  are unknown. These functions can be eliminated to obtain the boundary conditions

$$\frac{\partial \overline{v}^{(0)}}{\partial \eta} + |\kappa| (2\overline{x})^{1/2} \overline{v}^{(0)} \to \frac{i\beta\kappa^2 e^{i\overline{x} - |\kappa| (2\overline{x})^{1/2}} \overline{\eta}}{(\kappa_2 - i|\kappa|) (2\overline{x})^{1/2}} \\
+ \left(\frac{i\kappa_2\beta \left(\kappa_2^2 - \kappa^2\right)}{(2\overline{x})^{1/2} \left(\kappa^2 + \kappa_2^2\right)} - i + \kappa^2 + \kappa_2^2\right) e^{i\overline{x} + i\kappa_2 (2\overline{x})^{1/2}} \overline{\eta} - (\kappa^2 + \kappa_2^2)\overline{x}, \quad (2.21)$$

$$\frac{\partial \overline{w}^{(0)}}{\partial \eta} + |\kappa| (2\overline{x})^{1/2} \overline{w}^{(0)} \to \frac{i\beta |\kappa|^3 \mathrm{e}^{i\overline{x} - |\kappa| (2\overline{x})^{1/2} \overline{\eta}}}{\kappa_2 - i|\kappa|} - \frac{2\beta \kappa^2 \kappa_2^2 \, \mathrm{e}^{i\overline{x} + i\kappa_2 (2\overline{x})^{1/2} \overline{\eta} - (\kappa^2 + \kappa_2^2)\overline{x}}}{\kappa^2 + \kappa_2^2} \quad (2.22)$$

$$\frac{\partial \overline{p}^{(0)}}{\partial \eta} + |\kappa| (2\overline{x})^{1/2} \overline{p}^{(0)} \to -\frac{i\beta |\kappa| e^{i\overline{x} - |\kappa| (2\overline{x})^{1/2} \overline{\eta}}}{2\overline{x} (\kappa_2 - i|\kappa|)} + \frac{\beta \kappa_2^2 e^{i\overline{x} + i\kappa_2 (2\overline{x})^{1/2} \overline{\eta} - (\kappa^2 + \kappa_2^2) \overline{x}}}{\overline{x} (\kappa^2 + \kappa_2^2)}, \quad (2.23)$$

and

$$\frac{\partial \overline{v}}{\partial \eta} + |\kappa| (2\overline{x})^{1/2} \overline{v} \to -\mathrm{e}^{i\overline{x} + i\kappa_2(2\overline{x})^{1/2}\overline{\eta} - (\kappa^2 + \kappa_2^2)\overline{x}}, \qquad (2.24)$$

$$\frac{\partial \overline{w}}{\partial \eta} + |\kappa| (2\overline{x})^{1/2} \overline{w} \to i \kappa_2 (2\overline{x})^{1/2} \mathrm{e}^{i\overline{x} + i\kappa_2 (2\overline{x})^{1/2}} \overline{\eta} - (\kappa^2 + \kappa_2^2) \overline{x}, \qquad (2.25)$$

$$\frac{\partial \overline{p}}{\partial \eta} + |\kappa| (2\overline{x})^{1/2} \overline{p} \to 0, \qquad (2.26)$$

as  $\eta \to \infty$ . For  $\{\overline{u}, \overline{v}, \overline{w}, \overline{p}\}$ , the spanwise component is balanced by the wall-normal component through continuity and drives the laminar streaks in the core of the boundary layer. The pressure disturbance  $\overline{p}$  plays no role. The physical mechanism involved in the formation of the outer portion of the Klebanoff modes, i.e.  $\{\overline{u}^{(0)}, \overline{v}^{(0)}, \overline{w}^{(0)}, \overline{p}^{(0)}\}$ , is slightly more subtle. The wall-normal gradient of the streamwise velocity perturbation in the free stream, mainly caused by the displacement effect due to the boundary layer growth, generates an unsteady pressure  $\overline{p}^{(0)}$ . This pressure induces the spanwise velocity component  $\overline{w}^{(0)}$  which is balanced by  $\overline{u}^{(0)}$  and  $\overline{v}^{(0)}$ . The velocity components and the pressure fluctuations are therefore all forcing the disturbances inside the boundary layer.

For a two-dimensional gust, the outer boundary conditions simplify to

$$\overline{u}^{(0)} \to e^{i\overline{x} + i\kappa_2(2\overline{x})^{1/2}\overline{\eta} - \kappa_2^2\overline{x}},\tag{2.27}$$

$$\frac{\partial \overline{v}^{(0)}}{\partial \eta} \to \left( i\kappa_2 \beta (2\overline{x})^{-1/2} - i + \kappa_2^2 \right) \mathrm{e}^{i\overline{x} + i\kappa_2 (2\overline{x})^{1/2}} \overline{\eta} - \kappa_2^2 \overline{x}, \tag{2.28}$$

$$\frac{\partial \overline{p}^{(0)}}{\partial \eta} \to \beta \overline{x}^{-1} \mathrm{e}^{i \overline{x} + i \kappa_2 (2\overline{x})^{1/2} \overline{\eta} - \kappa_2^2 \overline{x}}.$$
(2.29)

Note that for the response to a two-dimensional gust the pressure  $\overline{p}^{(0)}$  appears in (2.11) and in (2.29) only in its wall-normal derivative  $\partial \overline{p}^{(0)}/\partial \eta$ , so that its actual value remains undetermined.

#### 2.1.2. Initial conditions

The initial conditions for  $\{\overline{u}, \overline{v}, \overline{w}, \overline{p}\}$  (see equation (5.25)-(5.27) in LWG at page 182) are

$$\overline{u} \to 2\overline{x}U_0 + (2\overline{x})^{3/2}U_1,$$

$$\overline{v} \to \tilde{V}_0 + (2\overline{x})^{1/2}\tilde{V}_1 + \frac{i}{(\kappa_2 - i|\kappa|)(2\overline{x})^{1/2}} \left(e^{i\kappa_2(2\overline{x})^{1/2}\overline{\eta} - (\kappa^2 + \kappa_2^2)\overline{x}} - e^{-|\kappa|(2\overline{x})^{1/2}\overline{\eta}}\right)$$

$$- \left(3\beta/4 - \frac{1}{2}g_1|\kappa|(2\overline{x})^{1/2}\right) e^{-|\kappa|(2\overline{x})^{1/2}\overline{\eta}} - \overline{v}_c,$$

$$\overline{w} \to \tilde{W}_0 + (2\overline{x})^{1/2}\tilde{W}_1 + \frac{1}{\kappa_2 - i|\kappa|} \left(\kappa_2 e^{i\kappa_2(2\overline{x})^{1/2}\overline{\eta} - (\kappa^2 + \kappa_2^2)\overline{x}} - i|\kappa|e^{-|\kappa|(2\overline{x})^{1/2}\overline{\eta}}\right)$$

$$- \frac{3\beta|\kappa|}{4}(2\overline{x})^{1/2}e^{-|\kappa|(2\overline{x})^{1/2}\overline{\eta}} - \overline{w}_c,$$

where the quantities in capital letters are the first two terms of the power series

$$\{\overline{u},\overline{v},\overline{w},\overline{p}\} = \sum_{n=0}^{\infty} (2\overline{x})^{n/2} \left\{ 2\overline{x}\tilde{U}_n(\eta), \tilde{V}_n(\eta), \tilde{W}_n(\eta), \frac{\tilde{P}_n(\eta)}{(2\overline{x})^{1/2}} \right\}.$$

The differential equations and boundary conditions satisfied by the terms are found in Appendix B in LWG, and the quantities  $\overline{v}_c$  and  $\overline{w}_c$  indicate the common parts and are given in Appendix C in LWG. The conditions for  $\{\overline{u}^{(0)}, \overline{v}^{(0)}, \overline{w}^{(0)}, \overline{p}^{(0)}\}$  are determined by a similar regular perturbation to find a power series for  $\eta = \mathcal{O}(1)$  and  $\overline{x} \ll 1$ 

$$\{\overline{u}^{(0)}, \overline{v}^{(0)}, \overline{w}^{(0)}, \overline{p}^{(0)}\} = \sum_{n=0}^{\infty} (2\overline{x})^{n/2} \left\{ U_n(\eta), \frac{V_n(\eta)}{2\overline{x}}, \frac{W_n(\eta)}{(2\overline{x})^{1/2}}, \frac{P_n(\eta)}{(2\overline{x})^{3/2}} \right\}.$$
 (2.30)

The equations governing the power series terms and the boundary conditions are found in Appendix A. The upstream perturbation profiles for the LUBR equations are obtained by constructing a composite solution that is uniformly valid for all values of  $\eta$ . This is done by using the additive rule, which involves adding the power series (2.30) (which are valid for  $\eta = \mathcal{O}(1)$ ) and the small- $\overline{x}$  limit form of expressions (2.13)-(2.16) (which are valid where  $y^{(0)} = \mathcal{O}(1)$ ) and subtracting their common part. Note that, as the boundaryregion equations (2.9)-(2.12) are parabolic in nature, the streamwise pressure gradient is negligible at leading order, which implies that an initial condition for the pressure disturbance is not needed to start the numerical integration. The series expression for the pressure disturbance in (2.30) has however been useful to check the numerical results at small  $\overline{x}$  locations. The reader is referred to Appendix B for the initial conditions. Note that the asymptotic solution for  $\kappa$ ,  $\kappa_2 \ll 1$  as  $\overline{x} \to \infty$ , studied by LWG at pages 177-179, may not be used as initial condition for the boundary-region equations. In that case, the disturbances are exponentially small in the core of the boundary layer and are confined near the free stream. This behaviour is in marked contrast with the one of the streaks

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FIGURE 3. Schematic of physical mechanism of generation of TS waves by unsteady vorticity disturbances interacting with localized wall roughness. Dashes arrows indicate the linear responses from the free stream and the wall, while the solid arrows denote the nonlinear interaction between the vorticity disturbance and the mean flow distortion which causes the TS-wave scattering. The frequency  $\omega_g$  is extracted from the free-stream gust, while the streamwise wavenumber  $\Re(\alpha_{\rm TS})$ is provided by the wall inhomogeneity.

when  $\overline{x} \ll 1$ , which show a peak in the core of the boundary layer and vanish in the outer portion (see Choudhari (1996)).

#### 2.2. Receptivity to vorticity disturbances interacting with wall inhomogeneities

The mathematical formulation for the TS-wave generation by the interaction of the freestream-induced vorticity fluctuations with localized wall inhomogeneities at a distance  $x^* = l^*$  is presented in this section. A schematic of the physical mechanism for the wall-roughness case is shown in figure 3. The finite Reynolds number approach pioneered by Choudhari & Streett (1992) for free-stream acoustic forcing is adopted. The reader should refer to Choudhari (1994*a*,*b*, 1996) for further details. The receptivity problem is formulated for the general three-dimensional case with  $k_3 \neq 0$  although the calculations in §4.2 are carried out for a two-dimensional free-stream gust.

We consider localized surface disturbances of two types, wall roughness and wall suction. Similarly to the vorticity flow induced from the free stream, the mean flow produced by the wall perturbation is assumed small compared with the unperturbed Blasius flow, which implies that the equations can be linearized. In the wall-roughness case, the maximum height of the roughness element,  $h_{w,m}^* = \max_x (h_w^*(x^*))$ , is therefore taken as small compared with a measure of the wall-normal length scale of the Blasius flow in the proximity of the wall. The asymptotic triple-deck theory (Sobey 2001) shows that the condition for linearization is that the maximum height of the roughness must be much smaller than the inner layer of the multiple-scale interaction, namely  $h_{w,m}^*/x^* \ll Re_x^{-5/8}$ , where  $Re_x = x^* U_{\infty}/\nu$ . In receptivity studies, the distances are usually scaled by the displacement thickness

$$\delta_d^* = d\sqrt{x^*\nu/U_\infty} = dx^* Re_x^{-1/2}, \ d = 1.72078\dots$$

at  $x^* = l^*$ , so that the condition for linearization is  $h^*_{w,m}/\delta^*_d \ll Re_x^{-1/8}$ . The asymptotically small parameter  $\epsilon^r_w \equiv h^*_{w,m}/\delta^*_d$  is defined. For wall suction, the maximum steady

suction velocity,  $v_{s,m}^* = \max_x (v_s^*(x^*))$ , is assumed much smaller than  $U_{\infty}$ , and we define  $\epsilon_w^s \equiv v_{s,m}^*/U_{\infty} \ll 1$ . The scaled wall perturbations can be expressed as:  $h_w(X) = \epsilon_w^r H_w^r(X)$  and  $v_s(X) = \epsilon_w^s H_w^s(X)$ , where  $H_w^j(X) = \mathcal{O}(1)$  (j = r, s), and  $X = x^*/\delta_d^*$ .

The downstream Reynolds number can also be defined on  $\delta_d^*$  as  $Re_{\delta} = \delta_d^* U_{\infty} / \nu = d\sqrt{Re_x}$ . The frequency is scaled as follows

$$f \equiv \frac{\omega^* \nu}{U_{\infty}^2}$$
 or  $\omega_g \equiv \frac{\omega^* \delta_d^*}{U_{\infty}} = f R e_{\delta}.$ 

The spanwise wavenumber of the gust and of the TS wave becomes

$$\beta_g \equiv \frac{2\pi\delta_d^*}{\lambda_z^*} = \frac{\omega_g k_3}{k_1},$$

and the scaled downstream distance for the vorticity response can be expressed as

$$\overline{x} = f R e_x. \tag{2.31}$$

The velocity field in the boundary layer is

$$\{u, v, w\}(X, Y, Z, T) = \{U(Y), 0, 0\}$$
  
+ $\epsilon_w^j \{U_w(X, Y), V_w(X, Y)\} + \epsilon_g \{U_g(Y), V_g(Y), W_g(Y)\} e^{i\alpha_g X + i\beta_g Z - i\omega_g T}$   
+ $\epsilon_w^j \epsilon_g \{U_{w,g}(X, Y), V_{w,g}(X, Y), W_{w,g}(X, Y)\} e^{i\beta_g Z - i\omega_g T} + \dots,$  (2.32)

where  $\alpha_g = \omega_g$ . The first term on the right is the Blasius solution. The quasi-parallel flow assumption is adopted, i.e. the wall-normal velocity of the Blasius flow is assumed small compared with the streamwise velocity. The quantities with subscript w are the steady flow distortion induced by the wall roughness or wall suction, and the quantities with subscript g are the unsteady vorticity disturbances induced by the free-stream perturbations. The quantities with subscript w, g indicate the scattered TS wave, which is produced by the nonlinear interaction between the two previous perturbations. Similarly to X, the wall-normal and spanwise coordinates, Y, Z, are scaled by  $\delta_d^*$ , and the time T by  $\delta_d^*/U_{\infty}$ . The wall-normal coordinate Y is related to  $\eta$ , the coordinate used in the Klebanoff modes analysis:  $Y = \sqrt{2\eta/d}$ .

The boundary-layer vorticity response is

$$U_g(Y) \mathrm{e}^{i\alpha_g X} \approx \overline{u}_0, \ V_g(Y) \mathrm{e}^{i\alpha_g X} \approx \left(\frac{2\overline{x}k_1}{R_\lambda}\right)^{1/2} \overline{v}_0, \ W_g(Y) \mathrm{e}^{i\alpha_g X} \approx \overline{w}_0, \tag{2.33}$$

where  $\overline{u}_0, \overline{v}_0, \overline{w}_0$ , given in (2.7) and (2.8), are composed of both the components studied by LWG, which are dominant in the core of the boundary layer, and by the components  $\{\overline{u}^{(0)}, \overline{v}^{(0)}, \overline{w}^{(0)}, \overline{p}^{(0)}\}\)$ , which are the relevant ones in the outer portion of the boundary layer. As in Choudhari (1996), it is assumed that the vorticity response locally presents a streamwise modulation with wavenumber  $\alpha_g$ , which is  $\alpha_g = \omega_g$  for a convected gust. We therefore expect the localized wall perturbations to be at a downstream location where the Klebanoff modes relax to the streamwise behaviour of the free-stream convected gust. Expression (2.33) is a good approximation because the vorticity response evolves on a streamwise scale of  $\mathcal{O}(\lambda_x^*)$ , which is much larger than the streamwise wavelength of the TS waves (assumed to be of the same order of  $\delta_d^*$ ), and much shorter than the scale of the mean boundary layer, i.e. the distance from the leading edge. Furthermore, following the results by Wu (2001b,a) based on a rigorous asymptotic analysis for two-dimensional, low-frequency disturbances, we adopt the *inner approximation*, namely we neglect the effect of the exponentially-decaying (along y) part of the free-stream gust.

An equation of motion for the wall-normal velocity component is obtained from the

Navier-Stokes equations by taking the divergence of the momentum equations and by using the continuity equation to eliminate the pressure (see Kim *et al.* (1987) for the derivation, although for the stability analysis the nonlinear terms are neglected). The solution (2.32) is substituted into this equation, and terms  $\mathcal{O}(\epsilon_w^j)$  and  $\mathcal{O}(\epsilon_w^j \epsilon_g)$  are collected. The velocity components of the disturbance are Fourier transformed along the X direction because the coefficients of the equation are independent of this coordinate. The homogeneous Orr-Sommerfeld equation for the Fourier-transformed wall-normal velocity component  $\overline{V}_w$  of the mean flow distortion is obtained by collecting  $\mathcal{O}(\epsilon_w^j)$  terms:

$$\mathcal{L}_{OS}\left(\overline{V}_{w}\right) = 0, \quad \mathcal{L}_{OS} = i\left(\alpha U - \omega_{g}\right)\left(D^{2} - \alpha^{2}\right) - i\alpha U_{YY} - \frac{1}{Re_{\delta}}\left(D^{2} - \alpha^{2}\right)^{2},$$

where D and the subscript Y indicate differentiation with respect to the wall-normal coordinate. The following boundary conditions apply

$$\overline{V}_w, \overline{V}_{wY} \to 0 \text{ as } Y \to \infty,$$

$$\overline{V}_w = 0, \ \overline{V}_{wY} = i\alpha U_Y(0)\overline{H}_w^r(\alpha), \ \text{at } Y = 0 \text{ for wall roughness,}$$

and

$$\overline{V}_w = \overline{H}_w^s(\alpha), \ \overline{V}_{wY} = 0, \ \text{at } Y = 0 \ \text{for wall suction},$$

where the no-slip boundary condition at the wall roughness  $(Y = h_w(X))$  has been shifted to Y = 0 through a Taylor expansion in Y. The streamwise velocity can be computed by the continuity equation to obtain  $\overline{U}_w = i \overline{V}_{wY}/\alpha$ . Note that the calculations above for the mean flow distortion are carried out at the complex wavenumber of the instability wave,  $\alpha = \alpha_{TS}(\omega_g, Re_{\delta})$ , which is computed from the stability calculations based on the homogeneous Orr-Sommerfeld equation.

By collecting  $\mathcal{O}(\epsilon_w^j \epsilon_g)$  terms, the inhomogeneous Orr-Sommerfeld equation for the wall-normal velocity of the TS wave is found

$$\mathcal{L}_{OS}\left(\overline{V}_{w,g}\right) = i\alpha_{g}^{3}\overline{U}_{w}\overline{V}_{g} + i\alpha_{w}^{3}\overline{V}_{w}\overline{U}_{g} - i\alpha_{g}\overline{U}_{w}\overline{V}_{gYY} - i\alpha_{w}\overline{V}_{wYY}\overline{U}_{g}$$

$$+i\alpha_{g}\beta^{2}\overline{U}_{w}\overline{V}_{g} + \alpha_{g}^{2}\overline{V}_{w}\overline{V}_{gY} + \alpha_{w}^{2}\overline{V}_{wY}\overline{V}_{g} - \overline{V}_{w}\overline{V}_{gYYY} - \overline{V}_{wYYY}\overline{V}_{g}$$

$$+\beta^{2}\overline{V}_{w}\overline{V}_{gY} + 2i\alpha_{w}\alpha_{g}^{2}\overline{U}_{w}\overline{V}_{g} + 2i\alpha_{w}^{2}\alpha_{g}\overline{V}_{w}\overline{U}_{g} - 2\alpha_{g}\alpha_{w}\left(\overline{U}_{w}\overline{U}_{gY} + \overline{U}_{wY}\overline{U}_{g}\right)$$

$$+i\alpha_{w}\alpha_{g}\left(\alpha_{g}\overline{V}_{w}\overline{U}_{g} + \alpha_{w}\overline{U}_{w}\overline{V}_{g}\right) + i\left(\alpha_{w}\overline{V}_{w}\overline{U}_{gYY} + \alpha_{g}\overline{U}_{wYY}\overline{V}_{g}\right)$$

$$+i\alpha_{w}\beta^{2}\overline{V}_{w}\overline{U}_{g} + 2\alpha_{w}\alpha_{g}\left(\overline{V}_{w}\overline{V}_{gY} + \overline{V}_{wY}\overline{V}_{g}\right) + \left(\alpha_{g}^{2}\overline{V}_{wY}\overline{V}_{g} + \alpha_{w}^{2}\overline{V}_{w}\overline{V}_{gY}\right)$$

$$-\overline{V}_{wY}\overline{V}_{gYY} - \overline{V}_{wYY}\overline{V}_{gY} + \beta^{2}\overline{V}_{wY}\overline{V}_{g}, \qquad (2.34)$$

which is subject to the following boundary conditions

$$\overline{V}_{w,g} = 0, \ \overline{V}_{w,gY} = i\alpha_w \overline{U}_{gY} \overline{H}_w(\alpha_w), \ \text{at } Y = 0, \ \text{for wall roughness},$$
(2.35)

 $\overline{V}_{w,q} = 0, \ \overline{V}_{w,qY} = 0, \ \text{at } Y = 0 \ \text{for wall suction},$ 

and

$$\overline{V}_{w,q}, \overline{V}_{w,q_Y} \to 0 \text{ as } Y \to \infty.$$

The streamwise wavenumber  $\alpha_w \equiv \alpha - \alpha_g$  is defined. The source term on the right of equation (2.34) synthesizes the nonlinear interaction between the free-stream vorticity flow and the mean flow distortion.

The objective is to determine the amplitude of the streamwise velocity component of

the TS wave. This is usually the quantity of interest in receptivity studies because it is often measured in experiments. It can be expressed as

$$U_{w,g}(X,Y,Z,T) = \epsilon_w^j \overline{H}_w^j (\alpha_{TS} - \alpha_g) \epsilon_g \Lambda_u(\omega_g, Re_\delta) E_u(Y; \omega_g, Re_\delta) e^{i(\alpha_{TS}X + \beta_g Z - \omega_g T)},$$

where  $E_u(Y; \omega_g, Re_{\delta})$  indicates the eigenfunction of the streamwise velocity component of the instability wave. It is scaled so that the maximum of its absolute value is unity.  $\overline{H}_w^j$  is the Fourier transform of the X distribution of the wall perturbation. The quantity  $\Lambda_u(\omega_g, Re_{\delta})$  is the efficiency function and it depends on the types of free-stream disturbance and surface inhomogeneities, but it is independent from the actual shape of the wall disturbance. This represents a valuable asset of receptivity studies, as discussed in Choudhari & Streett (1992). The product  $\Lambda_u E_u$  is evaluated by the residue contribution of the simple pole evaluated at the TS-wave eigenvalue  $\alpha_{TS}$ , calculated by solving numerically the homogeneous Orr-Sommerfeld stability problem. The solution is

$$\Lambda_{u}E_{u} = \frac{\sqrt{2\pi i}}{\overline{H}_{w}^{j}(\alpha_{TS} - \alpha_{g}) \left.\frac{\partial\left(\overline{U}_{w,g}^{-1}\right)}{\partial\alpha}\right|_{\alpha = \alpha_{TS}}},$$

from which  $|\Lambda_u|$  is found.

#### 3. Numerical procedures

The mean-flow equation (2.6) is decomposed into three ordinary differential equations, which are solved by a second-order, implicit finite-difference scheme. The nonlinear system is solved by Newton-Raphson iteration (Cebeci 2002).

#### 3.1. Solution of the LUBR equations

The LUBR equations (2.9)-(2.12) are parabolic in the  $\overline{x}$  direction and elliptic in the z direction, so that they can be solved by marching downstream by applying the initial conditions (B-1)-(B-4) and (5.25)-(5.27) in LWG at page 182, the outer boundary conditions (2.13), (2.21)-(2.23), (2.17), (2.24)-(2.26), and the no-slip condition at the wall. A second-order, implicit finite-difference scheme which is central in  $\eta$  and backward in  $\overline{x}$  is employed for the computation of  $\{\overline{u}, \overline{v}, \overline{w}, \overline{p}\}$ . The discretization scheme along  $\overline{x}$  is increased to third order for the computation of  $\{\overline{u}^{(0)}, \overline{v}^{(0)}, \overline{w}^{(0)}, \overline{p}^{(0)}\}$  because higher accuracy is required near the leading edge as  $\overline{v}^{(0)}, \overline{w}^{(0)}$  are singular as  $\overline{x} \to 0^+$  (see (2.30)). The pressure terms are computed on a grid staggered in the  $\eta$  direction with respect to the grid for the velocity components with the purpose of avoiding the pressure decoupling phenomenon. This undesired effect occurs if the grid for the pressure coincides with the grid for the velocity components. The pressure at the wall needs not be specified; its value is calculated a posteriori by solving the z-momentum equation at  $\eta = 0$ . The outer mixed boundary conditions (2.21)-(2.23) and (2.24)-(2.26) are applied by a second-order finitedifference approximation. The linear system is solved by a standard block-elimination algorithm, described in Cebeci (2002) at pages 260–264. A uniform grid with a typical mesh size of  $\Delta \eta = 0.05$  is used and the domain extends to  $\eta = 30$ .

The numerical implementation of the initial conditions for  $\overline{v}^{(0)}, \overline{w}^{(0)}$  requires special attention because of their singular behaviour as  $\overline{x} \to 0^+$ . If the calculations are started at a too small  $\overline{x}_0$  value, the streamwise gradients  $\partial \overline{v}^{(0)} / \partial \overline{x}, \partial \overline{w}^{(0)} / \partial \overline{x}$  are too large and the finite-difference scheme may not approximate accurately the analytic expression for the initial conditions (B-1)-(B-2). On the other hand, calculations must be started at a small enough  $\overline{x}_0$  for the initial conditions to be valid. The integration step  $\Delta \overline{x}$  must

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be kept small during the initial iterations, while it can be increased as the streamwise gradients decrease downstream. The values of  $\overline{x}_0$  and of the initial  $\Delta \overline{x}$  are found iteratively by minimizing the error between the numerical and the analytical expressions for  $\partial \overline{v}^{(0)} / \partial \overline{x}, \partial \overline{w}^{(0)} / \partial \overline{x}$  during the initial numerical calculations. For  $\kappa = \kappa_2 = 1, \overline{x}_0 \approx 10^{-3},$ and  $\Delta \overline{x} \approx 10^{-4}$  near the leading edge ( $\overline{x} < 0.01$ ), and  $\Delta \overline{x} \approx 10^{-3}$  further downstream. This problem is not encountered for  $\overline{v}, \overline{w}$  because these components are bounded for small  $\overline{x}$ , so that the calculations may be started from any small non-zero  $\overline{x}$  value. The pressure terms  $\overline{p}, \overline{p}^{(0)}$  are also singular in this limit, but their initial conditions are not needed to initiate the numerical calculations because the streamwise pressure gradient term is absent in the LUBR equations. The expressions (B-4) and (4.16) in Ricco & Wu (2007) at page 111 are only used to verify the correctness of the pressure profiles during the initial integration steps. As further checks, the solutions of the LUBR equations for vanishingly small values of  $\kappa$  and  $\kappa_2$  are successfully compared with the solutions to the boundary-layer equations (see LWG at page 176), obtained by a separate code which employs the Keller-Box method (Cebeci 2002), and with the results by Choudhari (1996). The solutions of the LUBR equations for  $\{\overline{u}, \overline{v}, \overline{w}, \overline{p}\}$  with  $\kappa, \kappa_2 = \mathcal{O}(1)$  match the ones by LWG.

#### 3.2. Solution of the stability and receptivity equations

The Orr-Sommerfeld stability equation has been solved by two methods: a fourth-order explicit Runge-Kutta scheme which employs the compound matrix method, and a second-order fully implicit finite-difference method. The solutions of the two methods show excellent agreement. The reader should refer to the papers by Ng & Reid (1979); Davey (1982); Sengupta (1992); Allen & Bridges (2002, 2003a,b); Sengupta & Subbaiah (2006) for details on the compound matrix method used to cure the stiffness-related problems when an explicit algorithm, such the Runge-Kutta method, is employed. The implicit method is described in Malik (1990). It is a local method in that an initial guess for the eigenvalue is needed and an iteration process leads to the correct solution. The receptivity problem, where the Orr-Sommerfeld equation is inhomogeneous with respect to both the boundary conditions and the nonlinear forcing term, has been solved by the implicit method.

#### 4. Results

#### 4.1. Boundary-layer vorticity disturbances

#### 4.1.1. Response to a two-dimensional free-stream gust

The boundary-layer disturbance induced by a two-dimensional gust is first investigated. The flow within the boundary layer is also two-dimensional, with velocity components  $\overline{u}^{(0)}$ ,  $\overline{v}^{(0)}$ , and pressure  $\overline{p}^{(0)}$ . The case with a wall-normal wavelength which is long compared with the boundary-layer thickness ( $\kappa_2 \to 0$  at  $\overline{x} = \mathcal{O}(1)$ ) was first studied by Choudhari (1996) by the unsteady boundary-layer equations (see figure 2 at page 10 in his paper). Also,  $\theta_g = 0$ , so that  $\hat{u}_1^{\infty} = 0$ ,  $\hat{u}_2^{\infty} = 1$ , which represent a simplified gust whose vector is oriented along the y-axis. Equation (2.27) simplifies to  $\overline{u}^{(0)} \to \exp(i\overline{x})$ , and the amplitude of  $\overline{u}^{(0)}$  in the free stream remains equal to unity because the effects of viscous dissipation are negligible. Choudhari finds that this component moves upward toward the free stream, and eventually becomes exponentially small in the core of the boundary layer. It confines itself in the so-called edge-layer, located in the outer portion of the boundary layer, for which an analytical solution can be found (see LWG, Wu (2001b)).

We consider the boundary-layer response to two-dimensional gusts with wall-normal



FIGURE 4. Amplitude of streamwise  $\overline{u}^{(0)}$  velocity profiles for  $\kappa = 0$  and  $\kappa_2 = 1$  at different  $\overline{x}$  locations.



FIGURE 5. Amplitude of wall-normal  $\overline{v}^{(0)}$  velocity profiles for  $\kappa = 0$  and  $\kappa_2 = 1$  at different  $\overline{x}$  locations.



FIGURE 6. Amplitude of streamwise  $\overline{u}^{(0)}$  (left) and wall-normal  $\overline{v}^{(0)}$  (right) velocity profiles for  $\kappa = 0$  and  $\kappa_2 = 2$  at different  $\overline{x}$  locations.

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wavelength comparable with the boundary-layer thickness, i.e.  $\kappa = 0, \kappa_2 = 1$  and  $\kappa = 0$ ,  $\kappa_2 = 2$ . (Note that hereinafter the notation  $\kappa = 0$  actually indicates  $\kappa \ll 1$ . A null value cannot be assigned to  $\kappa$  because this quantity appears at the denominator in the initial conditions for the pressure component (B-4) and in equation (A-13). A value of  $\kappa = 10^{-5}$ was assigned and the results have been shown to be independent of  $\kappa$  in this limit.) Figure 4 for  $\kappa_2 = 1$  and figure 6 (left) for  $\kappa_2 = 2$  show that the amplitude of the streamwise velocity component  $\overline{u}^{(0)}$  decays at a faster rate in the free stream than in the core of the boundary layer. The disparity between  $|\overline{u}^{(0)}|$  in the free stream and within the boundary layer amplifies as  $\kappa_2$  increases. The  $|\overline{u}^{(0)}|$  peak within the boundary layer remains at almost the same wall-normal location,  $\eta \approx 2.2$ , when  $\kappa_2 = 1$ , but when  $\kappa_2 = 2$  it moves closer to the wall from  $\eta \approx 2.2$  at  $\overline{x} \approx 0$  to  $\eta \approx 1.8$  at  $\overline{x} = 1.0$ . If the streamwise velocity is rescaled by the amplitude of its local free-stream value (as is done in receptivity studies), the profile for  $\kappa_2 = \mathcal{O}(1)$  is significantly different from the case  $\kappa_2 = 0$  (shown in figure 2 in Choudhari (1996)) because of the presence of the peak in the core of the boundary layer.  $\kappa_2$  is thus expected to produce a marked effect on the efficiency function of the TS wave, which depends strongly on the shape of the streamwise velocity signature (see §4.2). The edge-layer entrainment of the disturbances does not occur when  $\kappa_2 = \mathcal{O}(1)$ , similarly to the response to a three-dimensional free-stream gust when  $\kappa, \kappa_2 = \mathcal{O}(1)$  (see LWG and Ricco & Wu (2007)).

Figures 5 and 6 (right) display the amplitude of the wall-normal velocity component  $\overline{v}^{(0)}$  at different  $\overline{x}$  locations for  $\kappa_2 = 1$  and  $\kappa_2 = 2$ , respectively. The peak of  $|\overline{v}^{(0)}|$  occurs at almost the same wall-normal location of  $|\overline{u}^{(0)}|$ ,  $\eta \approx 2$ . The fluctuations within the boundary layer and in the free stream decay at almost the same rate. This indicates that the mean flow shear acts primarily on the streamwise component, similarly to the three-dimensional case, where the lift-up mechanism is observed (Ellingsen & Palm 1975; Landahl 1980). Downstream of  $\overline{x} \approx 0.02$ , the profiles show a wavy modulation in the free stream, whose amplitude and wavelength decrease slowly as the flow develops downstream. As expected, the rate of decay is stronger when  $\kappa_2 = 2$ . The free-stream waviness behaviour is not observed when  $\kappa_2 = 0$ , for which the profiles vary little from the distribution at  $\overline{x} \ll 1$  (Choudhari 1996).

If the experimental work by Dietz (1999) (where the response to  $\log_{\lambda_y}^{*}$  gusts was studied, i.e.  $\kappa_2 \approx 0$ ) were to be extended to investigate the effects of a viscously dissipated and displaced free-stream fluctuation, a gust with a wall-normal wavelength of about 1 mm would have to be generated. This would be of the same order of magnitude of the boundary-layer thickness (less than 2 mm). The non-dimensional wall-normal wavenumber would be  $\kappa_2 \approx 1$ , as suggested by the asymptotic arguments in §2.1.

#### 4.1.2. Response to a three-dimensional free-stream gust: the Klebanoff modes

In this section, the evolution of boundary-layer disturbances induced by a threedimensional gust is studied. As the flow is now three-dimensional, we consider the full disturbance solution  $\{\overline{u}_0, \overline{v}_0, \overline{w}_0, \overline{p}_0\}$ , given in (2.8). The flow parameters are  $\kappa = \kappa_2 = 1$ , and  $k_1 = 0.1$  and  $\hat{u}_3^{\infty} = -0.2$ . A small value for  $k_1$  was chosen to satisfy the asymptotic condition  $k_1 \ll 1$ . It was not taken as extremely small in order to investigate the importance of second-order effects and of the vorticity signature in the outer part of the boundary layer. From the condition (2.1) that the amplitude of the gust vector is unity and from the continuity equation (2.2), it follows that  $k_2 = 2\pi$ ,  $\hat{u}_1^{\infty} \approx 0.96$ ,  $\hat{u}_2^{\infty} \approx 0.18$ , and  $R_\lambda \approx 394.8$ .

Figure 7 shows the amplitude of the streamwise velocity component at different  $\overline{x}$  locations, and figure 8 shows the relative importance of the amplitude of the two components of  $|\overline{u}_0|$ ,  $|C^{(0)}\overline{u}^{(0)}|$ ,  $|(k_3/k_1)C\overline{u}|$  at  $\overline{x} = 0.5$ , 1.0. For this frequency  $k_1$ , we note the impor-



FIGURE 7. Amplitude of streamwise  $\overline{u}_0$  velocity profiles for  $\kappa = \kappa_2 = 1$ ,  $k_1 = 0.1$ ,  $\hat{u}_3^{\infty} = -0.2$  at  $\overline{x} \leq 1$  (left) and  $\overline{x} \geq 1$  (right).



FIGURE 8. Amplitude of streamwise velocity profiles  $|C^{(0)}\overline{u}^{(0)}|$  (dashed lines) and  $|(k_3/k_1)C\overline{u}|$ (solid lines) for  $\kappa = \kappa_2 = 1$ ,  $k_1 = 0.1$ ,  $\hat{u}_3^{\infty} = -0.2$  at  $\overline{x} = 0.5$  (left) and at  $\overline{x} = 1.0$  (right).



FIGURE 9. Amplitude of wall-normal  $\overline{v}_0$  and spanwise  $\overline{w}_0$  velocity profiles for  $\kappa = \kappa_2 = 1$ ,  $k_1 = 0.1$ ,  $\hat{u}_3^{\infty} = -0.2$  at different  $\overline{x}$  locations.



FIGURE 10. Amplitude of pressure  $\overline{p}_0$  profiles for  $\kappa = \kappa_2 = 1$ ,  $k_1 = 0.1$ ,  $\hat{u}_3^{\infty} = -0.2$  at different  $\overline{x}$  locations.



FIGURE 11. Asymptotic scaling of boundary-region quantities for  $\kappa \to \infty$ ,  $\kappa_2/\kappa = 1$  at  $\kappa^2 \overline{x} = 1$ . The numbers in the legend indicate  $\kappa$ .

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| i                      | Ê  | $k_1$  | $f^*(\mathrm{Hz})$ | $\lambda_x^*(\mathrm{mm})$ | $\lambda_z^*(\mathrm{mm})$ | $\kappa$ | $\overline{x}$ |
|------------------------|----|--------|--------------------|----------------------------|----------------------------|----------|----------------|
| 7                      | .5 | 0.034  | 5.12               | 1560                       | 8.5                        | 0.50     | 2.01           |
| 3                      | 35 | 0.123  | 23.91              | 335                        | 6.6                        | 0.30     | 9.39           |
| 7                      | 75 | 0.272  | 51.24              | 156                        | 6.8                        | 0.20     | 20.12          |
| 15                     | 50 | 0.378  | 102.49             | 78                         | 4.7                        | 0.20     | 40.25          |
| 22                     | 20 | 0.518  | 150.32             | 53                         | 4.4                        | 0.17     | 59.03          |
| TABLE 1. Estimated flo | ow | quanti |                    | xperiment                  |                            | nown     | in figur       |

Westin *et al.* (1994).

tance of including the component proportional to  $\overline{u}^{(0)}$ . It has a magnitude comparable with the component proportional to  $\overline{u}$  for  $\overline{x} \leq 1$ , is dominant in the outer portion of the boundary layer, and it matches the streamwise component of the free-stream gust. The typical profile of the Klebanoff modes appears downstream of this location, with the  $\overline{u}$ component playing a leading role in the core of the boundary layer, while  $\overline{u}^{(0)}$  becomes gradually less important. As it will be verified in §4.1.3 when the numerical results are compared successfully with experimental data, the inclusion of the  $\overline{u}^{(0)}$  component gives a more realistic representation of the Klebanoff mode shape than if only  $\overline{u}$  is considered, or if the streaks are described by other theories, which allow for the streamwise velocity component to vanish as the free-stream is approached. One theory is for example the one by Taylor (1939), who has described the streaks by a small spanwise perturbation of the mean boundary-layer thickness, so that  $u \sim \eta F''$ .

The disturbance is eventually damped by viscous effects both inside the viscous region and in the free stream, where the dissipating effects of both the wall-normal and the spanwise length scales are at work. The entrainment of disturbance in the outer portion of the boundary layer, in the so-called edge-layer, does not occur, being a phenomenon solely restricted to gusts with small  $\kappa$  values (see LWG and Choudhari (1996)).

The wall-normal and spanwise velocity profiles, shown in figure 9 (left and right, respectively), do not present the growth in the core of the boundary layer displayed by the streamwise component, being significantly damped by viscous effects. The free-stream wavy modulation of  $|\overline{v}^{(0)}|$  which occurs when  $\kappa = 0$ ,  $\kappa_2 = \mathcal{O}(1)$  (see figures 5 and 6 (right)), is absent when  $\kappa = \kappa_2 = 1$ . The amplitude of pressure  $\overline{p}_0$ , shown in figure 10, bears some resemblance with the r.m.s. profile of the pressure fluctuations in a turbulent boundary layer (see Schewe (1983) and Tsuji *et al.* (2007) for experimental results and Spalart (1988) for numerical results), although in this latter case the peak occurs closer to the wall. The local maximum of  $|\overline{p}_0|$  in the core of the boundary layer corresponds to the peak of  $|\overline{u}_0|$ .

The boundary-region solution  $\{\overline{u}^{(0)}, \overline{v}^{(0)}, \overline{w}^{(0)}, \overline{p}^{(0)}\}\$  can be expressed through an asymptotic solution,  $\overline{u}^{(0)} = \hat{u}^{(0)}(\kappa^2 \overline{x}, \eta; \kappa_2/\kappa), \{\overline{v}^{(0)}, \overline{p}^{(0)}, \overline{p}^{(0)}\} = \kappa^2 \{\hat{v}^{(0)}, \hat{w}^{(0)}, \hat{p}^{(0)}\}(\kappa^2 \overline{x}, \eta; \kappa_2/\kappa)\$  in the limit  $\kappa \to \infty, \kappa_2/\kappa = \mathcal{O}(1)$ . The scaled quantities  $\{\hat{u}^{(0)}, \hat{v}^{(0)}, \hat{w}^{(0)}, \hat{p}^{(0)}\}\$  satisfy the steady boundary region equations. This scaling is verified numerically in figure 11, where the profiles tend to collapse on one another as  $\kappa$  grows.

#### 4.1.3. Comparison with experimental data by Westin et al. (1994)

This section presents comparisons between our numerical calculations and the windtunnel data by Westin *et al.* (1994), which are of utmost importance because they not only include distributions of the r.m.s. of the streamwise velocity fluctuations, but also of the fluctuating energy divided into bands centred at a single frequency. The Klebanoff modes



FIGURE 12. Profiles of fluctuating energy for different frequencies  $\hat{F}=10^6 f$  (top) and of  $u_{rms}$  (bottom) as a function of  $Y = y^*/\delta_d^*$ . Lines denote the numerical calculations and symbols the experimental data by Westin *et al.* (1994) at  $x^* = 500$  mm for  $U_{\infty} = 8$  m/s.

were generated in a flat-plate laminar boundary layer by nearly isotropic free-stream turbulence evolving on a mean flow of  $U_{\infty} = 8$  m/s. The boundary-layer thickness varied between about 5 and 7 mm at the measurement locations. As the wind-tunnel single-frequency data in figure 12a in Westin *et al.* (1994) were obtained from a decomposition of a full spectrum of turbulence while our calculations correspond to the evolution of a single Fourier mode, a few assumptions are necessary to carry out the comparison:

• Small-amplitude fluctuations We assume that the boundary layer fluctuations can be treated as linearized perturbations about the Blasius flow. This is reasonable at  $x^* = 500$  mm because the mean flow measurements shown in figures 6 at page 205 in Westin *et al.* (1994) deviate only about 1% or less from the laminar solution. This hypothesis is arguably not applicable at the farthest measurement point ( $x^* = 1000$  mm), which is near the transition location. Under this assumption, it follows that each vortical structure corresponding to a Fourier mode may be assumed to evolve independently and the gust-convection relation  $k_x^* = \omega^*/U_\infty$  holds. The streamwise wavelength  $\lambda_x^*$  and the  $\overline{x}$  position can be estimated. The assumption of small amplitude also implies that the spanwise wavelength remains constant as the flow evolves downstream, which is consistent with Westin *et al.*'s observations.

• Axisymmetric turbulence in y - z plane We assume that the free-stream turbulence is axisymmetric, i.e. homogeneous and isotropic in y-z planes perpendicular to the mean flow (Batchelor 1946; Chandrasekhar 1950). The measurements show that the statistics of the fluctuating velocity components along these directions assume almost the same values and are uniforms in such planes. The r.m.s. of the streamwise velocity component is instead 3-4 times larger. The integral and Taylor length scales along the y and zdirections are comparable, while they are 2-3 times larger along the streamwise direction. Therefore, it appears legitimate to assume that  $\lambda_y^* = \lambda_z^*$  (i.e.  $\kappa_2 = \kappa$ ) and  $\hat{u}_2^{\infty} = \hat{u}_3^{\infty}$ . We set  $\hat{u}_1^{\infty} = 1$  without losing generality because the data will be rescaled by the maximum of the squared streamwise velocity of the corresponding experimental profile.

In order to fully specify the problem, we must estimate the spanwise wavelength  $\lambda_z^*$ and  $\hat{u}_3^{\infty}$ . As no information is provided on how these quantities vary with the frequency for each Fourier component, we resort to the numerical calculations. Following the result shown in figure 2 at page 178 in LWG, i.e. that at leading order the position of the maximum only depends on  $\kappa$  (and therefore on  $\lambda_z^*$  for given  $U_{\infty}, \nu, \lambda_x^*$ ), we estimate  $\lambda_z^* = \lambda_z^*(\kappa)$  through our numerical database by determining  $\kappa$  for which the maximum of the Klebanoff modes given in figure 12a in Westin et al. (1994) agrees with the numerical  $\eta_{max}$ . The velocity component  $\hat{u}_3^{\infty}$  is estimated in similar fashion through the comparison of the ratio between the experimental  $|u|_{max}$  and |u| in the free stream with  $C/(k_1 C^{(0)}) \approx$  $\sqrt{2\hat{u}_3^{\infty}}/(k_1\hat{u}_1^{\infty})$ . The latter is a reasonable approximation of the ratio of the experimental quantities because the dominant part in the first equation of (2.8) peaks in the core of the boundary layer, while the lower-order component is likely to reach its maximum in the free stream. Table 1 gives our estimates for the experimental conditions of Westin et al. (1994)'s data in figure 12a. As expected,  $\kappa = \mathcal{O}(1)$  or smaller and the estimated values for  $\lambda_z^*$  are comparable with the streak spacing ~ 7 mm measured by Westin *et al.*. It is also found that  $\lambda_x^* \gg \lambda_z^*, \delta^*$ , as required by the asymptotic analysis.

Figure 12 (left) presents the comparison between the profiles of the fluctuating energy and our calculations of the full Klebanoff mode solution  $\overline{u}_0$  in (2.8), rescaled by the maxima of each experimental profile. The overall agreement is good, especially for the low-frequency fluctuations. The low-frequency fluctuations penetrate more deeply into the boundary layer and are amplified more than the high-frequency fluctuations. These are located in the proximity of the free stream, as predicted by the theory of the edgelayer confinement (Gulyaev *et al.* (1989), LWG). The better agreement for data at low frequency may be due to the higher uncertainty for high-frequency fluctuations, which are of smaller amplitude. Another source of error could be that the calculation are carried out at a specified frequency  $\hat{F}$ , while it is not clear how wide the frequency band centred at  $\hat{F}$  actually is for the profiles in figure 12a. In figure 12 (right), a good agreement between our calculations and the  $u_{rms}$  profile by Westin *et al.* in figure 9b is shown. As no detailed information is provided about the free-stream spectrum, we have computed the numerical trend by summing the profiles in figure 12 (left). Note that the rescaling by the maximum of the experimental  $u_{rms}$  is performed after the summation.

# 4.2. Boundary-layer receptivity by free-stream vortical disturbances interacting with localized wall inhomogeneities

The receptivity of the Blasius boundary layer to a two-dimensional gust interacting with localized wall perturbations is studied in this section. We investigate how the absolute value of the efficiency function  $\Lambda_u$  of the streamwise velocity component varies as a function of the frequency f of the gust at a downstream Reynolds number  $Re_{\delta} = 1216.78$  $(Re_x = 5 \cdot 10^5)$ , as in figures 5,6 in Choudhari (1996)). At this  $Re_{\delta}$ , there exists a wide range of frequencies f for which the eigenmodes are unstable, as shown by the growth rates in figure 14 (dashed line). Although the physical location  $x^*$  of the wall perturbation is constant since  $Re_{\delta}$  is unvaried, the scaled streamwise distance  $\overline{x}$  used for the LUBR computations grows with f (see (2.31)). The location  $\overline{x}$  changes from 5 to about 50 in the range of frequency considered. It is first checked that the approximation (2.33) for the gust response holds in the unstable range of frequencies. Figure 13 shows that the real and the imaginary parts of the ratio  $(\overline{u}^{(0)})_x/\overline{u}^{(0)}$  (where the subscript indicates partial differentiation with respect of  $\overline{x}$ ) are largely independent of  $\overline{x}$  in the  $\overline{x}$  range where the TS-waves are unstable,  $20 < \overline{x} < 50$ . This shows that the gust has an exponential-like behaviour where the TS waves are unstable. It is also evident that such an approximation is not valid for smaller  $\overline{x} = \mathcal{O}(1)$ , which confirms that the Orr-Sommerfeld and Squire equations, in which the streak behaviour is treated as wave-like, cannot be employed to study the laminar streaks because the nonparallel effects are relevant there and cannot be ignored.

The corresponding values of  $\kappa_2$  are displayed in figure 14 ( $\kappa = 0$  because the forcing gust is two-dimensional). Each curve corresponds to a different wall-normal velocity component of the gust,  $\hat{u}_2^{\infty}$ , or to a different  $\theta_g = \arccos(\hat{u}_2^{\infty})$ . For a fixed frequency, from the definition of  $\kappa_2$  given in the Introduction, equations (2.1) and (2.2), it follows that  $\kappa_2$  grows as  $\hat{u}_2^{\infty}$  decreases in amplitude (and  $\theta_g \to \pi/2$ ). The effects of a small wall-normal wavelength are therefore expected to intensify as  $\hat{u}_2^{\infty}$  decreases, i.e. for gusts mainly oriented along the streamwise direction. Note that, when  $\kappa_2 = \mathcal{O}(1)$ , the numerical computation of  $\Lambda_u$  takes a much longer time than when  $\kappa_2 = 0$ . Indeed, as fand  $\kappa_2$  vary, each  $\Lambda_u$  computation requires that the integration of the LUBR equations be started from the proximity of the leading edge, whereas, when  $\kappa_2 = 0$ , the correct vorticity signature can be obtained by integration from the  $\overline{x}$  position corresponding to the previous f.

Figure 15 shows  $|\Lambda_u|$  as a function of f for the wall-roughness case (left) and for the wall-suction case (right). The profiles are qualitatively similar in the two cases, but the wall-suction values are about two order of magnitudes larger. The efficiency function first decreases as  $\theta_g$  grows, but eventually the effect of a small wall-normal wavelength is to amplify  $|\Lambda_u|$  significantly when  $\kappa_2 = \mathcal{O}(1)$ . This growth is mainly caused by the shape of the streamwise disturbance velocity profile. For small wall-normal wavelengths, figures 4 and 6 (left) show that the amplitude of the streamwise fluctuations decreases more rapidly in the free stream than within the boundary layer because the shear of the mean flow works as an amplifier and counteracts the viscous dissipation effects, a phenomenon which is more intense as  $\kappa_2$  grows. The shape of the streamwise velocity profile is therefore significantly different from the boundary-layer case,  $\kappa_2 = 0$  and  $\hat{u}_2^{\infty} = \pm 1.0$ , where, for this range of  $\bar{x}$  locations, the streamwise velocity disturbance within the boundary layer is always lower than in the free stream (see figure 2 at page 10 in Choudhari (1996)). For the receptivity calculations, the velocity disturbances are rescaled by the



FIGURE 13. Real and imaginary parts of the ratio  $(\overline{u}^{(0)})_x/\overline{u}^{(0)}$  at  $\eta = 2$  as a function of  $\overline{x}$ . The numbers in the legend indicate  $\kappa_2$ .

amplitude of the free-stream streamwise component, so that the peak of streamwise velocity becomes responsible for an intense nonlinear forcing that amplifies  $|\Lambda_u|$ . Indeed, the forcing amplitude has been found to grow with  $\overline{x}$  and  $\kappa_2$ .

We close this section by pointing out some differences between the present vorticity-



FIGURE 14. Scaled wall-normal wavenumber  $\kappa_2$  as a function of f at  $Re_{\delta} = 1216.78$ . The values in the legend indicate  $\hat{u}_2^{\infty}$ . The line with symbols indicates the growth rate of the TS wave,  $-20 \cdot \Im(\alpha_{TS})$ . The  $\hat{u}_2^{\infty} = -1.0$  line is not shown because  $\kappa_2 = 0$ .



FIGURE 15. Amplitude of  $\Lambda_u$  vs. f for wall roughness (left) and wall suction (right). The values in the legend indicate  $\hat{u}_2^{\infty}$ .

induced receptivity and the analogous acoustic receptivity. Firstly, in the vorticity case, the nonlinear terms are concentrated in the core of the boundary layer, while in the latter case the induced perturbation is much closer to the wall (see figure 7 at page 2505 in Choudhari & Streett (1992)). The relative contribution of the inhomogeneous wall boundary conditions and of the nonlinear forcing terms on the efficiency function is also of interest. The influence of the boundary conditions has been found to be negligible in the vorticity case by setting the wall boundary condition to zero in equation (2.35) and by retaining the nonlinear terms in equation (2.34) (a technique also used by Choudhari & Streett (1992)). The main reason for this is that the vorticity response is not confined in the proximity of the wall, so that the wall-shear stress of the streamwise perturbation,  $U_{gY}$ , appearing in the wall boundary conditions (2.35), is small, and its influence on the TS-wave scattering is limited. This scenario is in marked contrast with the receptivity to acoustic forcing, where the nonlinear terms and the wall boundary condition have a comparable (and partly mutually canceling) effect (Choudhari & Streett 1992).

#### 5. Summary

There has recently been a lot of interest in the theoretical modeling of the laminar streaks (or Klebanoff modes), namely the streamwise-elongated, low-frequency disturbances appearing in pre-transitional laminar boundary layers as a consequence of a medium-to-high level of free-stream turbulence. The reason for this lies in the belief that the streaks may be responsible for the so-called bypass transition, namely the breakdown to turbulence via some mechanism which is alternative to the slower, classical instability route involving Tollmien-Schlichting waves propagating in a purely laminar boundary layer. Experimental evidence indeed shows that, when the free stream is sufficiently disturbed by vortical fluctuations, the laminar streaks quickly evolve into turbulence spots.

A mathematical framework for the laminar streaks which can be regarded as allinclusive is the one by Leib *et al.* (1999) (LWG). Their asymptotic analysis in the limit of small-amplitude and low-frequency disturbances shows that relevant features such as the streak unsteadiness, the nonparallel effects, the spanwise viscous diffusion and the influence of free-stream fluctuations are all of the same order of magnitude and are therefore taken into account.

In the present work, LWG's study has been extended to compute (i) the boundarylayer vorticity signature induced by a two-dimensional free-stream gust with a small wall-normal wavelength, (ii) the second-order terms of a fully three-dimensional Klebanoff mode, and (iii) the Tollmien-Schlichting wave excited when a two-dimensional vorticity fluctuation such as the one in (i) interacts nonlinearly with a small localized wall perturbation.

The interest in (i) resides in the fact that LWG did study the response of a threedimensional gust with wall-normal and spanwise wavelengths comparable with the boundary layer thickness, but only a two-dimensional gust with wall-normal wavelength which is asymptotically larger than the boundary-layer thickness. The first part of the present study has therefore focused on the effect of the a 2D-gust viscous dissipation and wallnormal displacement on the boundary-layer signature, namely on the influence of wallnormal wavelengths which are comparable with the layer thickness. In this case, it is found that the streamwise velocity profile shows a marked peak in the core of the boundary layer and that the wall-normal velocity profile presents a wavy character as the free stream is approached.

We have carried out part (ii) of the analysis because the second-order terms of the vorticity signature, which are negligible in the core of the boundary layer, may become dominant in the outer portion of the boundary layer. The profiles of the Klebanoff modes have been compared with the distributions of fluctuating energy at different frequencies measured by Westin *et al.* (1994). A good agreement has been attained by assuming that the perturbations are of small amplitude and axisymmetric in planes perpendicular to the mean flow. Our estimates have also successfully reproduced the root-mean-square of the streamwise velocity fluctuations.

The effect of small-wavelength gusts on the scattering of TS waves (iii) has been found to be significant because of the difference in magnitude of the streamwise velocity in the core of the boundary layer and in the outer portion near the free stream. This part of the analysis could be viewed as a contribution to the long-standing effort to understand the interplay between the Klebanoff modes and the TS waves. Future work should be directed to more complex scenarios, such as the receptivity of three-dimensional gusts interacting with three-dimensional, distributed wall inhomogeneities.

It is our hope that the present analysis will motivate further experimental investigation. For example, the accurate study by Dietz (1999) may be extended to generate

two-dimensional free-stream gusts with wall-normal wavelengths comparable with the boundary-layer thickness, so that the present theoretical analysis may be validated. The gust may still be produced by a thin vibrating ribbon and the small wall-normal wavelength could be fixed by the inclination of the plane of oscillation with respect to the direction of the free-stream mean flow. An even more involved experiment would focus on the boundary layer response to a single three-dimensional Klebanoff mode, which would allow a detail comparison with LWG's and our results. As opposed to a straight ribbon as in Dietz (1999)'s work, a wavy, vibrating (or rotating) thin ribbon could be used, thereby allowing the precise specification of the gust amplitude, frequency, and its wall-normal and spanwise wavelengths. Bypass transition induced by a combination of medium-to-high free-stream turbulence and distributed roughness would also be of great practical interest.

#### Appendix A. Power series equations for initial conditions

The equations for the power series terms in (2.30) and their boundary conditions are presented. Differently from LWG, the wall-normal and spanwise components are now singular as  $\overline{x} \to 0^+$ . The first three terms are retained in order to start the marching procedure correctly. Note that for  $\{\overline{u}, \overline{v}, \overline{w}, \overline{p}\}$  only two terms are sufficient. The third terms are now necessary to make the components  $\overline{v}^{(0)}, \overline{w}^{(0)}$  satisfy the no-slip condition at the wall as  $\overline{x} \to 0^+$ . By substituting (2.30) into the LUBR equations (2.9)-(2.12) and by collecting like powers of  $\overline{x}$ , three systems of ordinary differential equations are obtained

$$V_0' - \eta U_0' = 0, \tag{A-1}$$

$$\eta F'' U_0 + F U_0' + U_0'' - F'' V_0 = 0, \tag{A-2}$$

$$P_0' = 0, \tag{A-3}$$

$$F'W_0 + FW'_0 + W''_0 + \kappa^2 P_0 = 0, (A-4)$$

$$U_1 - \eta U_1' + V_1' + W_0 = 0, \tag{A-5}$$

$$(F' - \eta F'') U_1 - FU_1' - U_1'' + F''V_1 = 0, \qquad (A-6)$$

$$P_{1}' = \left(2F' - (\eta F')'\right)V_{0} + FV_{0}' + V_{0}'' + \left(\eta (\eta F')' - F\right)U_{0}, \tag{A-7}$$

$$FW_1' + W_1'' + \kappa^2 P_1 = 0, (A-8)$$

$$2U_2 - \eta U_2' + V_2' + W_1 = 0, \tag{A-9}$$

$$(2F' - \eta F'') U_2 - FU_2' - U_2'' + F''V_2 + (\kappa^2 - i) U_0 = 0,$$
(A-10)

$$P_{2}' = \left(F' - (\eta F')'\right)V_{1} + FV_{1}' + V_{1}'' + \left(\eta (\eta F')' - F\right)U_{1},$$
(A-11)

$$F'W_2 - FW'_2 - W''_2 - \kappa^2 P_2 + (\kappa^2 - i) W_0 = 0, \qquad (A-12)$$

The velocity components in equations (A-1)-(A-12) satisfy the no-slip condition at the wall. The outer boundary conditions are found as follows. Firstly, the power series expansion for  $\overline{u}^{(0)}$  is matched with the  $\overline{x} \ll 1$  expansion of its large- $\eta$  solution (2.13) to obtain

$$U_0 \to 1, \ U_1 \to i \left(\kappa_2 + i|\kappa|\right) \overline{\eta},$$

$$U_2 \to (1/2) \left( i - \kappa_2 \left( \kappa_2 + i |\kappa| \right) - \frac{\kappa_2^3 + i |\kappa|^3}{\kappa_2 - i |\kappa|} \overline{\eta}^2 \right) \text{ as } \eta \to \infty.$$

The  $\overline{x} \ll 1$  expansion of the large- $\eta$  solution (2.15) for  $\overline{w}^{(0)}$  is matched with the leading-order term in the power series expansion to find

$$W_0 \to -\frac{\beta|\kappa|(\kappa_2 - i|\kappa|)}{2(\kappa_2 + i|\kappa|)} \text{ as } \eta \to \infty, \text{ and } P_0 = \frac{\beta(\kappa_2 - i|\kappa|)}{2|\kappa|(\kappa_2 + i|\kappa|)}.$$

The large- $\eta$  wall-normal velocity component (2.14) is matched at leading order with the initial condition for the boundary-layer equations, given by equation (4.13) in LWG, namely  $\overline{v}^{(0)} \rightarrow \beta/(4\overline{x})$ . It is found that

$$\int_{0}^{\overline{x}} g^{(0)}(\breve{x}) e^{-i\breve{x}} d\breve{x} = \frac{\beta}{|\kappa| (2\overline{x})^{1/2}} \left( 1 - \frac{\kappa_2 (3\kappa_2^2 - \kappa)}{2 (\kappa_2 - i|\kappa|) (\kappa^2 + \kappa_2^2)} \right) + \frac{g_1^{(0)}}{|\kappa|} + \frac{g_2^{(0)} (2\overline{x})^{1/2}}{|\kappa|} \dots$$
(A-13)

The constants  $g_1^{(0)}$ ,  $g_2^{(0)}$  are found by matching the second-order term and the third-order term of the  $\overline{x} \ll 1$  expansion of the large- $\eta$  solution (2.14) for  $\overline{v}^{(0)}$  as  $\eta \to \infty$  with the numerical solution for  $V_1$  and  $V_2$ , respectively. It follows that

$$g_1^{(0)} = V_{1,0} + i(\kappa_2 + i|\kappa|) + \beta^2 \left(-3|\kappa|/2 + \frac{|\kappa|\kappa_2}{2(\kappa_2 - i\kappa)} + \frac{\kappa_2}{(\kappa_2 - i|\kappa|)(\kappa^2 + \kappa_2^2)} \left(|\kappa| \left(3\kappa_2^2 - \kappa^2\right)/2 + i\kappa_2\left(\kappa_2^2 - \kappa^2\right)\right)\right),$$

where

$$V_{1,0} = \lim_{\eta \to \infty} \left( V_1 + \left( 3|\kappa|\beta/2 - \frac{i\kappa_2^2\beta}{\kappa_2 + i|\kappa|} \right) \eta \right),\,$$

and

$$g_{2}^{(0)} = V_{2,0} + \frac{\beta}{4(\kappa_{2} - i|\kappa|)} \left( 2\kappa_{2} \left(\kappa_{2}^{2} - \kappa^{2}\right) + 8i|\kappa|^{3} + |\kappa| \right) - \frac{i\beta\left(\kappa_{2} - 2i|\kappa|\right)}{4\left(\kappa_{2} - i|\kappa|\right)} - \beta\left(i + |\kappa|g_{1}^{(0)}\right) + \beta\kappa_{2}\left(\kappa_{2} + i|\kappa|\right) \frac{\beta^{3}}{4\left(\kappa_{2} - i|\kappa|\right)} \left( 3i|\kappa|^{3} + \frac{2\kappa_{2}^{5} + 2i|\kappa|^{5} - 3\kappa^{4}\kappa_{2} + 2i|\kappa|^{3}\kappa_{2}^{2} - \kappa^{2}\kappa_{2}^{3}}{\kappa^{2} + \kappa_{2}^{2}} \right),$$

where

+

$$\begin{aligned} V_{2,0} &= \lim_{\eta \to \infty} \left( V_2 - V_{2,1}\eta - V_{2,2}\eta^2 \right), V_{2,1} &= \kappa_2 \left( \kappa_2 + i|\kappa| \right) - i - |\kappa| g_1^{(0)} - 2\beta V_{2,2}, \\ V_{2,2} &= -\frac{\beta}{4 \left( \kappa_2 - i|\kappa| \right)} \left( 3i|\kappa|^3 + \frac{2\kappa_2^5 + 2i|\kappa|^5 - 3\kappa^4\kappa_2 + 2i|\kappa|^3\kappa_2^2 - \kappa^2\kappa_2^3}{\kappa^2 + \kappa_2^2} \right). \end{aligned}$$

The  $\overline{x} \ll 1$  expansion of the large- $\eta$  solution (2.15) for  $\overline{w}^{(0)}$  is matched with the second-order term in the power series expansion to find

$$W_{1} \to |\kappa|g_{1}^{(0)} - 3\kappa^{2}\beta\overline{\eta}/2,$$

$$W_{2} \to |\kappa|g_{2}^{(0)} - \kappa^{2}g_{1}^{(0)}\overline{\eta} + \frac{i\beta\kappa^{2}\left(i - 4\kappa_{2}^{2} - 8\kappa^{2}\right)}{4\left(\kappa_{2} - i|\kappa|\right)} + \frac{\beta|\kappa|\left(2|\kappa|^{3} - i\kappa_{2}^{3} - 2|\kappa|\kappa_{2}^{2} + 3i\kappa_{2}\kappa^{2}\right)}{4\left(\kappa_{2} - i|\kappa|\right)\left(\kappa^{2} + \kappa_{2}^{2}\right)}$$

$$-\frac{\beta\kappa^{2}\overline{\eta}^{2}\left(5i\kappa^{4} - 3|\kappa|^{3}\kappa_{2} + 5i\kappa^{2}\kappa_{2}^{2} + |\kappa|\kappa_{2}^{3} + 4i\kappa_{2}^{4}\right)}{4\left(\kappa_{2} - i|\kappa|\right)\left(\kappa^{2} + \kappa_{2}^{2}\right)} \text{ as } \eta \to \infty,$$

and

$$\begin{split} P_1 &\to 3\beta\overline{\eta}/2, \\ P_2 &\to g_2^{(0)}|\kappa| + \frac{i\beta\kappa_2^3}{4|\kappa|\,(\kappa_2 - i|\kappa|)\,(\kappa^2 + \kappa_2^2)} + \frac{\beta\left(-\kappa^2 + 3\kappa_2^2 - 3i|\kappa|\kappa_2 + 4i\kappa^2\kappa_2^2 + 4i\kappa_2^4\right)}{4\,(\kappa_2 - i|\kappa|)\,(\kappa^2 + \kappa_2^2)} \\ &+ \frac{\beta\overline{\eta}^2\left(5i\kappa^4 - 3|\kappa|^3\kappa_2 + 5i\kappa^2\kappa_2^2 + |\kappa|\kappa_2^3 + 4i\kappa_2^4\right)}{4\,(\kappa_2 - i|\kappa|)\,(\kappa^2 + \kappa_2^2)} \text{ as } \eta \to \infty. \end{split}$$

### Appendix B. Initial conditions for the boundary-region equations

The initial conditions to be imposed for  $\{\overline{u}^{(0)}, \overline{v}^{(0)}, \overline{w}^{(0)}, \overline{p}^{(0)}\}\$  at  $\overline{x} \ll 1$  are

$$\begin{split} \overline{u}^{(0)} &\rightarrow U_0 + (2\overline{x})^{1/2} U_1 + 2\overline{x} U_2 + \frac{e^{i\overline{x}}}{\kappa_2 - i|\kappa|} \left( \kappa_2 e^{i\kappa_2(2\overline{x})^{1/2} \overline{\eta} - (\kappa^2 + \kappa_2^2)\overline{x}} \right. \\ &- i|\kappa|e^{-|\kappa|(2\overline{x})^{1/2} \overline{\eta}} \right) - 1 - i(2\overline{x})^{1/2} \left( \kappa_2 + i|\kappa| \right) \overline{\eta} \\ &+ \left( -i + \kappa_2 \left( \kappa_2 + i|\kappa| \right) + \frac{\kappa_2^3 + i|\kappa|^3}{\kappa_2 - i|\kappa|} \overline{\eta}^2 \right) \overline{x}, \end{split} (B-1) \\ &\overline{v}^{(0)} \rightarrow \frac{V_0}{2\overline{x}} + \frac{V_1}{(2\overline{x})^{1/2}} + V_2 \\ &+ \frac{e^{i\overline{x} - |\kappa|(2\overline{x})^{1/2} \overline{\eta}}}{(\kappa_2 - i|\kappa|)(2\overline{x})^{1/2}} \left( -2i|\kappa|^3 \beta(2\overline{x})^{1/2} + 1 + i\kappa^2 \beta \overline{\eta} + \frac{i|\kappa|\beta}{2(2\overline{x})^{1/2}} \right) \right) \\ &+ e^{i\overline{x} - |\kappa|(2\overline{x})^{1/2} \overline{\eta}} \left( \frac{\beta}{2\overline{x}} \left( 1 - \frac{\kappa_2 \left( 3\kappa_2^2 - \kappa^2 \right)}{2 \left( \kappa_2 - i|\kappa| \right) \left( \kappa^2 + \kappa_2^2 \right)} \right) + \frac{g_1^{(0)}}{(2\overline{x})^{1/2}} + g_2^{(0)} \right) \right) \\ &+ \frac{e^{i\overline{x} + i\kappa_2(2\overline{x})^{1/2} \overline{\eta} - (\kappa^2 + \kappa_2^2)\overline{x}}{\kappa_2 - i|\kappa|} \left( \frac{\kappa_2 \beta \left( \kappa_2^2 - \kappa^2 \right)}{2 \left( \kappa^2 - \kappa^2 \right)} - \frac{1 + i \left( \kappa^2 + \kappa_2^2 \right)}{(2\overline{x})^{1/2}} \right) \right) \\ &- \frac{\beta}{4\overline{x}} - \frac{1}{(2\overline{x})^{1/2}} \left( g_1^{(0)} - i \left( \kappa_2 + i|\kappa| \right) \right) \\ &+ \frac{\beta \overline{\eta} \left( 2i\kappa_2^4 + 3i\kappa^4 + i\kappa^2\kappa_2^2 + |\kappa|\kappa_2^3 - 3|\kappa|^3\kappa_2 \right)}{2 \left( \kappa_2 - i|\kappa| \right) \left( \kappa^2 + \kappa_2^2 \right)} \right) \\ &- \frac{g_2^{(0)}}{4 \left( \kappa_2 - i|\kappa| \right)} + \overline{\eta} \left( i + |\kappa|g_1^{(0)} - \kappa_2 \left( \kappa_2 + i|\kappa| \right) \right) \\ &+ \frac{\beta \overline{\eta}^2}{4 \left( \kappa_2 - i|\kappa| \right)} \left( 3i|\kappa|^3 + \frac{2\kappa_2^5 + 2i|\kappa|^5 - 3\kappa^4\kappa_2 + 2i|\kappa|^3\kappa_2^2 - \kappa^2\kappa_2^3}{\kappa^2 + \kappa_2^2} \right), \quad (B-2) \\ &\overline{w}^{(0)} \rightarrow \frac{W_0}{(2\overline{x})^{1/2}} + W_1 + (2\overline{x})^{1/2} W_2 \\ &+ |\kappa|e^{i\overline{x} - |\kappa|(2\overline{x})^{1/2} \overline{\eta}} \left( \frac{\beta}{(2\overline{x})^{1/2}} \left( 1 - \frac{\kappa_2 \left( 3\kappa_2^2 - \kappa^2 \right)}{2 \left( \kappa_2 - i|\kappa| \right) \left( \kappa^2 + \kappa_2^2 \right)} \right) + g_1^{(0)} + g_2^{(0)}(2\overline{x})^{1/2} \right) \end{aligned}$$

$$\begin{split} P.\ Ricco \\ &+ \frac{i\beta\kappa^2 e^{i\overline{x} - |\kappa|(2\overline{x})^{1/2}\overline{\eta}}}{(\kappa_2 - i|\kappa|)(2\overline{x})^{1/2}} \left(1/2 + |\kappa|(2\overline{x})^{1/2}\overline{\eta}\right) \\ &+ \frac{2i\beta\kappa^2\kappa_2^2}{(2\overline{x})^{1/2}(\kappa_2 - i|\kappa|)(\kappa^2 + \kappa_2^2)} + \frac{\beta|\kappa|(\kappa_2 - i|\kappa|)}{2(2\overline{x})^{1/2}(\kappa_2 + i|\kappa|)} \\ &- g_1^{(0)}|\kappa| + (3/2)\kappa^2\beta\overline{\eta} - (2\overline{x})^{1/2} \left(\left|\kappa|g_2^{(0)} - \kappa^2g_1^{(0)}\overline{\eta} + \frac{i\beta\kappa^2(i - 4\kappa_2^2 - 8\kappa^2)}{4(\kappa_2 - i|\kappa|)} \right. \\ &+ \frac{\beta|\kappa|(2|\kappa|^3 - i\kappa_2^3 - 2|\kappa|\kappa_2^2 + 3i\kappa_2\kappa^2)}{4(\kappa_2 - i|\kappa|)(\kappa^2 + \kappa_2^2)} \\ &- \frac{\beta\kappa^2\overline{\eta}^2(5i\kappa^4 - 3|\kappa|^3\kappa_2 + 5i\kappa^2\kappa_2^2 + |\kappa|\kappa_2^3 + 4i\kappa_2^4)}{4(\kappa_2 - i|\kappa|)(\kappa^2 + \kappa_2^2)}\right), \quad (B-3) \\ \overline{p}^{(0)} \rightarrow \frac{P_0}{(2\overline{x})^{3/2}} + \frac{P_1}{2\overline{x}} + \frac{P_2}{(2\overline{x})^{1/2}} - \frac{\beta e^{i\overline{x} - |\kappa|(2\overline{x})^{1/2}\overline{\eta}}{|\kappa|(2\overline{x})^{3/2}} \left(1 - \frac{\kappa_2(3\kappa_2^2 - \kappa^2)}{2(\kappa_2 - i|\kappa|)(\kappa^2 + \kappa_2^2)}\right)\right) \\ &+ \frac{g_2^{(0)}e^{i\overline{x} - |\kappa|(2\overline{x})^{1/2}\overline{\eta}}}{|\kappa|(2\overline{x})^{1/2}} - \frac{i\beta e^{i\overline{x} - |\kappa|(2\overline{x})^{1/2}\overline{\eta}}}{2|\kappa|(2\overline{x})^{1/2}\overline{\eta}} \left(1 - \frac{\kappa_2(3\kappa_2^2 - \kappa^2)}{2(\kappa_2 - i|\kappa|)(\kappa^2 + \kappa_2^2)}\right)\right) \\ &- \frac{i\beta e^{i\overline{x}}}{(2\overline{x})^{3/2}(\kappa_2 - i|\kappa|)} \left((1/2 + |\kappa|(2\overline{x})^{1/2}\overline{\eta}) e^{-|\kappa|(2\overline{x})^{1/2}\overline{\eta}}}{1 - \frac{2\kappa_2^2}{(2\varepsilon_2 - i|\kappa|)(\kappa^2 + \kappa_2^2)}}\right) \\ &- \frac{i\beta e^{i\overline{x}}}{(2\overline{x})^{3/2}(\kappa_2 - i|\kappa|)} \left((1/2 + |\kappa|(2\overline{x})^{1/2}\overline{\eta}\right) e^{-|\kappa|(2\overline{x})^{1/2}\overline{\eta}}}{1 - \frac{2\kappa_2^2}{(2\overline{x})^{1/2}\overline{\eta} - (\kappa^2 + \kappa_2^2)\overline{x}}}{\kappa^2 + \kappa_2^2}}\right) - \frac{\beta(\kappa_2 - i|\kappa|)}{4\overline{x}} + \frac{2\kappa_2^2}{(2\overline{x})^{1/2}\overline{\eta} - (\kappa^2 + \kappa_2^2)\overline{x}}{\kappa^2 + \kappa_2^2}} \\ &+ \frac{\beta(-\kappa^2 + 3\kappa_2^2 - 3i|\kappa|\kappa_2 + 4i\kappa^2\kappa_2^2 + 4i\kappa_2^4)}{4(\kappa_2 - i|\kappa|)(\kappa^2 + \kappa_2^2)} \\ &+ \frac{\beta(-\kappa^2 + 3\kappa_2^2 - 3i|\kappa|\kappa_2 + 4i\kappa^2\kappa_2^2 + 4i\kappa_2^4)}{4(\kappa_2 - i|\kappa|)(\kappa^2 + \kappa_2^2)} \end{aligned}$$

+ 
$$\frac{\beta \overline{\eta}^2 \left(5i\kappa^4 - 3|\kappa|^3 \kappa_2 + 5i\kappa^2 \kappa_2^2 + |\kappa|\kappa_2^3 + 4i\kappa_2^4\right)}{4 \left(\kappa_2 - i|\kappa|\right) \left(\kappa^2 + \kappa_2^2\right)}$$
 (B-4)

For a two-dimensional gust, the initial conditions reduce to

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$$\overline{u}^{(0)} \to U_0 + (2\overline{x})^{1/2} U_1 + 2\overline{x} U_2 + e^{i\overline{x} + i\kappa_2 (2\overline{x})^{1/2} \overline{\eta} - \kappa_2^2 \overline{x}} -1 - i\overline{\eta}\kappa_2 (2\overline{x})^{1/2} + \left(-i + \kappa_2^2 \left(1 + \overline{\eta}^2\right)\right) \overline{x},$$
(B-5)  
$$\overline{v}^{(0)} \to \frac{V_0}{2\overline{x}} + \frac{V_1}{(2\overline{x})^{1/2}} + V_2 + \frac{e^{i\overline{x}}}{\kappa_2 (2\overline{x})^{1/2}} + e^{i\overline{x}} \left(-\frac{\beta}{4\overline{x}} + \frac{g_1^{(0)}}{(2\overline{x})^{1/2}} + g_2^{(0)}\right) + e^{i\overline{x} + i\kappa_2 (2\overline{x})^{1/2} \overline{\eta} - \kappa_2^2 \overline{x}} \left(\frac{\beta}{2\overline{x}} - \frac{1 + i\kappa_2^2}{\kappa_2 (2\overline{x})^{1/2}}\right)$$
$$\frac{\beta}{4\overline{x}} - \frac{g_1^{(0)} - i\kappa_2 (1 - \beta\overline{\eta})}{(2\overline{x})^{1/2}} - g_2^{(0)} + \beta \kappa_2^2/2 - i\beta/4 + \overline{\eta} \left(i - \kappa_2^2\right) + \beta \kappa_2^2 \overline{\eta}^2/2,$$
(B-6)



FIGURE 16. Amplitude of streamwise  $\overline{u}^{(0)}$  (left) and wall-normal  $\overline{v}^{(0)}$  (right) velocity profiles for  $\kappa = 0$  and  $\kappa_2 = 1$  at  $\overline{x} = 7 \cdot 10^{-4}$  obtained by the initial composite solutions (B-1)-(B-2). The legend is:  $\circ$  - inner solution;  $\triangle$  - outer solution;  $\square$  - common part; solid line - composite solution.

$$\frac{\partial \overline{p}^{(0)}}{\partial \eta} \rightarrow \frac{P_0'}{(2\overline{x})^{3/2}} + \frac{P_1'}{2\overline{x}} + \frac{P_2'}{(2\overline{x})^{1/2}} - \frac{\beta e^{i\overline{x}}}{4\overline{x}} - g_2^{(0)} e^{i\overline{x}} - i\beta e^{i\overline{x}}/4$$
$$+\beta \overline{x}^{-1} e^{i\overline{x} + i\kappa_2(2\overline{x})^{1/2}} \overline{\eta} - \kappa_2^2 \overline{x} - \frac{3\beta}{4\overline{x}} - \frac{2i\beta \overline{\eta} \kappa_2}{(2\overline{x})^{1/2}}.$$

The outer boundary conditions for the power series equations become

$$U_1 \to i\kappa_2\overline{\eta}, \ U_2 \to \left(i - \kappa_2^2\left(1 + \overline{\eta}^2\right)\right)/2 \text{ as } \eta \to \infty,$$

and the constants simplify to

$$g_1^{(0)} = V_{1,0} + i\kappa_2(1+\beta^2), \ g_2^{(0)} = V_{2,0} - 5i\beta/4 + 3\beta\kappa_2^2/2 + \beta^3\kappa_2^2/2,$$
$$V_{2,1} = \kappa_2^2 - i, \ V_{2,2} = -\beta\kappa_2^2/2.$$

Figure 16 displays the profiles of the amplitude of the streamwise  $\overline{u}^{(0)}$  and wall-normal  $\overline{v}^{(0)}$  velocity components for  $\kappa = 0$ ,  $\kappa_2 = 1$  at  $\overline{x} = 7 \cdot 10^{-4}$  obtained by the initial conditions (B-1)-(B-2) (or (B-5)-(B-6) in this two-dimensional case). The inner solutions are given by the power series terms in (2.30). The outer solutions are the terms including the exponentials in (B-1)-(B-2). The common parts are given by the remaining terms in (B-1)-(B-2). Figure 17 shows the profiles of the amplitude of  $\overline{v}^{(0)}$  for  $\kappa = 0$ ,  $\kappa_2 = 1$  at different streamwise locations  $\overline{x} \ll 1$  obtained by the composite solution (B-2). The profile at  $\overline{x} = 7 \cdot 10^{-4}$  is successfully compared with the solution computed by integrating the LUBR equation by one step ( $\Delta \overline{x} = 10^{-4}$ ).

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FIGURE 17. Amplitude of wall-normal  $\overline{v}^{(0)}$  velocity profiles for  $\kappa = 0$  and  $\kappa_2 = 1$  at different streamwise locations  $\overline{x} \ll 1$  obtained by (B-2) (solid lines), and by solving numerically the LUBR equations (2.9)-(2.12) with one downstream step ( $\Delta \overline{x} = 10^{-4}$ ) (circles).

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